

Article

Kinect-based gait analysis system design and concurrent validity in persons with anterolateral shoulder pain syndrome. Results from a pilot study.

Fredy Bernal ^{1*}, Veronique Feipel ² and Mauricio Plaza ³¹ Universidad Militar Nueva Granada, Université libre de Bruxelles; fredy.bernal.castillo@ulb.be² Université libre de Bruxelles ; Veronique.feipel@ulb.be³ Universidad Militar Nueva Granada; mauricio.plaza@unimilitar.edu.co

* Correspondence: fredy.bernal.castillo@ulb.be

Abstract: As part of an investigation to detect asymmetries in gait patterns in persons with shoulder injuries, the goal of the present study was to design and validate a Kinect-based motion capture system that would allow extracting joint kinematics curves during gait and to compare them with the data obtained by a commercial motion capture system. The study included 8 male and 2 female participants, all diagnosed with anterolateral shoulder pain syndrome in their right upper extremity, with a minimum 18 months of disorder evolution. The participants had an average age of 31.8 ± 9.8 years, a height of 173 ± 18 cm, and a weight of 81 ± 15 kg. Gait kinematics was sampled simultaneously with the new system and the Clinical 3DMA system. Shoulder, elbow, hip, and knee kinematics were compared between systems for the pathological and non-pathological sides using repeated measures ANOVA and 1D statistical parametric mapping. For most variables, no significant difference was found between systems. Evidence of a significant difference between the newly developed system and the commercial system was found for knee flexion-extension ($p < 0.004$, between 60 and 80% of the gait cycle), and for shoulder abduction-adduction. The good concurrent validity of the new Kinect-based motion analysis system found in this study opens promising perspectives for clinical motion tracking using an affordable and simple system.

Keywords: Kinect-based Motion Capture; Gait Asymmetries; Shoulder Injuries, Rotator Cuff Syndrome, Repeated Measures ANOVA, Statistical Parametric Mapping SPM.

Citation: To be added by editorial staff during production.

Academic Editor: Firstname Last-name

Received: date

Revised: date

Accepted: date

Published: date



Copyright: © 2023 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

1. Introduction

Human movements can be described as a well-coordinated set of interactions of the musculoskeletal system (bones, muscles, ligaments, and joints) [1, 2]. The injuries or damages of any individual element of this system can generate a degradation of balance and stability or changes in the mechanical behaviour of the general system, altering movements of the damaged structure and / or of other joints, even not directly related [3]. The analysis of human gait consists of obtaining periodic parameters of movement of the upper and lower extremities, which reflect individual patterns. When presenting disorders or changes in some structures of the musculoskeletal system, global changes in other body segments related to gait are possible according to [4]. Using motion capture system analysis, it is possible to quantify the changes generated by such alterations throughout the human body [5].

Currently, pathologies, injuries or alterations of the shoulder occur in Colombia in 78 per thousand inhabitants. According to Federación de aseguradoras Colombianos (FASECOLDA) [6] 28% of the diagnoses are of occupational origin, the most common

being rotator cuff syndrome with 35% of these cases [7, 8]. It could therefore be relevant to carry out a study monitoring, identifying, and characterizing the motion parameters related to different shoulder disorders and how these alter the gait balance in persons who present this type of disease specifically Rotator cuff Syndrome.

Human gait analysis uses systems that allow measuring the global movement of the human body. These systems, known as motion capture systems, make use of different technologies to obtain data, the most common being optical and inertial systems [9]. Optical systems use sensors or cameras that detect estimated anatomical positions. Through recurrent calculations and data extraction algorithms, an estimate of joint movements and spatiotemporal parameters of interest can be obtained [10].

The objective of the research was to develop a motion capture system, aimed at reducing costs and difficulty of implementation, allowing measurements with sufficient precision compared to traditional systems endorsed for commercial use. For this reason, a search was carried out for technologies that would allow the capture of information that would allow obtaining and estimating the pertinent parameters. Based on the search for technologies, the design and implementation of the motion capture system were carried out for its validation, using a commercial device as a reference to determine if there are significant differences between the systems used.

2. Materials and Methods

Subjects

To adhere to government regulations, a protocol for data collection and management was implemented, including the creation of an informed consent form. This form, in accordance with the Declaration of Helsinki and Resolution No. 8430 of 1993 from the Ministry of Health in Colombia, informs volunteers about the type of test, associated risks, and patient rights during measurements. With the aim of fulfilling the study's objective, a search was conducted to recruit volunteers for test participation. During the selection process, specific parameters and conditions were established and considered for potential volunteers.

Inclusion criteria:

- Diagnosis of rotator cuff syndrome or anterolateral shoulder pain syndrome performed by a medical professional of the Colombian healthcare systems
- Evolution of the disorder for 18 months as minimum
- Women and men aged between 20 and 45 years

In this study, no proof or medical certificates of diagnosis were requested to ensure patient confidentiality and the protection of personal data.

Exclusion criteria

- Other types of alterations diagnosed by orthopedists or health professionals that may affect the motor behavior of the subject.

The selected sample consisted of 8 men and 2 women whose environment primarily involved office or low physical effort jobs, and all participants were located in the city of Bogotá, Colombia, all with rotator cuff syndrome pathology in the right extremity, with a mean age of 31.8 ± 9.8 years, height of 173 ± 18 cm, and weight of 81 ± 5 Kg, Participants were asked to report their pain levels (maximum and average pain experienced throughout the evolution of the disorder as well as present pain on the test day), on a numeric

scale of 0-10, where 0 was equivalent to no pain and 10 to the maximum bearable pain, obtaining the results shown in Table 1. 87
88

Table 1. Pain levels in volunteers 89

Volunteer	Maximum pain	Average pain	Test day pain
1	8	3	3
2	6	2	2
3	10	3	3
4	6	3	4
5	7	2	3
6	6	4	3
7	8	3	2
8	7	5	5
9	10	7	6
10	8	4	4
Mean	7,6	3,6	3,5
Standard deviation	1,5	1,5	1,3

Instrumentation 90

As a reference for movement capture, the Clinical 3DMA® system SST SYSTEMS [11] was used, in a configuration of 8 Optitrack infrared cameras with a resolution of 640x480 and a sampling frequency of 100 FPS. This system makes use of reflective markers located at specific landmarks on the body surface, allowing estimation of angular movements of the limbs and joints. For this study, the whole-body protocol was used, which includes a total of 19 markers (Figure 1) for the simultaneous tracking of angular and spatiotemporal parameters of the lower and upper limbs. Markers were placed by the same trained researcher in all cases to limit the risk of inaccuracies. 91
92
93
94
95
96
97
98

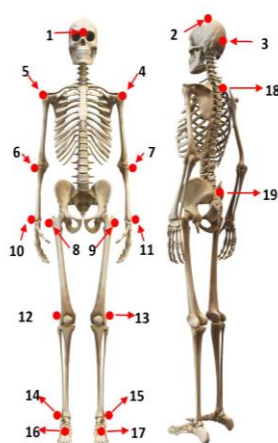


Figure 1 Location of reflective markers for Clinical 3DMA system[11]: 1. Front of the head, 2. Top of the head, 3. Back of the head, 4. Left acromion, 5. Right acromion, 6. Left lateral humeral epicondyle, 7. Right lateral humeral epicondyle, 8. Right trochanter, 9. Left trochanter, 10. Right ulnar styloid process, 11. Left ulnar styloid process, 12. Right lateral femoral epicondyle, 13. Left lateral femoral epicondyle, 14. Right lateral malleolus, 15. Left lateral malleolus, 16. Right 2nd metatarsophalangeal joint, 17. Left 2nd metatarsophalangeal joint, 18. C7 vertebra, 19. Upper part of the sacrum. 99
100
101
102
103
104
105

To use the parameters captured by the Clinical 3DMA was necessary to extract goniometric curves for each joint throughout the entire test. However, this extraction process needs to be performed manually for each desired joint and movement to be analysed. Consequently, a pre- 106
107
108

processing stage becomes essential to consolidate the acquired data before it can be utilized in the conducted study.

In other hand, the motion capture system developed in this study uses the Microsoft® Kinect V2, one of the most advanced and well-known commercial motion sensing equipment, with the following characteristics [12]:

- 70° horizontal and 60° vertical field of view.
- 1920 * 1080P camera resolution.
- Sensor depth range 0.5 m – 4.5 meters
- USB 3.0 interface
- Sampling frequency 30 Hz

A motion capture and analysis software were developed in MATLAB® 2021a environment, with additional add-ons (MATLAB support package for USB webcams, Kinect for Windows Sensor Imaging Toolbox Support Pack). These additional add-ons allow extracting information directly from the Kinect: specially the spatial positions of predefined joint markers. For this study, the following markers (Table 2) were used, as they are markers located on relevant joints for gait analysis.

Table 2. Kinect Markers used for the development of the motion capture system.

Kinect Markers	Kinect Markers	Kinect Markers
right_shoulder	back_hip	right_ankle
left_shoulder	right_hip	left_ankle
right_elbow	left_hip	right_foot
left_elbow	right_knee	left_foot
right_wrist	left_knee	front_head
front_head	neck	Spine_shoulder
top_head	Spine_mid	
back_head	Spine_base	

Developments designed in MATLAB can be conceived to be exported as a system independent from the base code. Considering the computational resources necessary for Kinect V2 operation and the premise of system portability, it was proposed to develop the capture software separately from the analysis system.

The motion capture software comprises a patient identification module (Figure 2). The capture software was developed following the flow diagram shown in Figure 3.



Figure 2. Kinect based system - motion capture system.

133

134

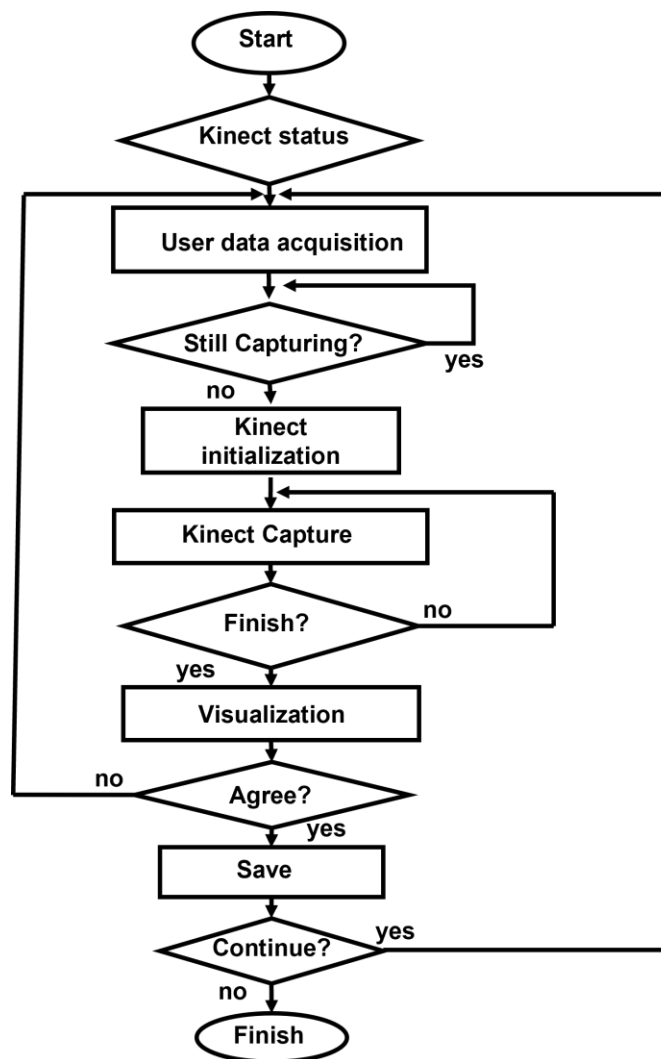


Figure 3. Basic Flow diagram of Kinect based system.

135

136

The developed software has three main functionalities. 137

Capture: allowing start and stop of motion capture. During capture, a signal indicates that motion capture is in progress, but without real time display. 138
139

Visualization: this function allows users to assess the success of the data capture in a straightforward manner. The captured data is presented in an additional window, allowing for visual inspection. As the test progresses and the entire body movement is reproduced, a visual witness indicates the complete reproduction of the movement. Once the test is concluded, the witness deactivates, granting the option to close the display and securely save the data obtained from the test. If the software user identifies any error in the capture during the visualization, it can be redone. 140
141
142
143
144
145
146

Save test: This feature facilitates the storage of test data in three distinct files. The first file includes personal data and test conditions, which are exported as a text document. The second file comprises the exported data presenting the three-dimensional coordinates of the joints throughout the entire test. Lastly, the third file contains the collected data in MATLAB variable format, allowing seamless integration with analysis software for further processing and in-depth data analysis. 147
148
149
150
151
152

The developed software identified the initial and final positions of each trial by utilizing markers placed on both ankles and the base of the spine. By calculating the angles of rotation and elevation using matrix rotations, any variations in orientation were effectively compensated, this rotation process is necessary to align the coordinate axes of the capture system with the global coordinate axes of the user, thus avoiding calculation errors when estimating body planes during data extraction. Additionally, the mirror effect was accounted for, additionally, in this process, the compensation of the mirror effect is carried out, which when viewing the images inverts the right and left sides. 153
154
155
156
157
158
159
160

Joint rotations were estimated using the markers adjacent to the corresponding joints, for example, for knee flexion-extension it was necessary to take the coordinates of the hip, knee, and ankle markers. With vectors created between these markers and by calculating the angle between them, joint rotation can be estimated by Equation (1). 161
162
163
164

$$\cos \theta = \frac{\vec{u} * \vec{v}}{|\vec{u}| * |\vec{v}|} \quad (1) \quad 165$$

where θ , is the generic name given to the calculated angle, it is only used in the equation as an informative element. \vec{u} and \vec{v} are the vectors created between the joint and the complementary markers, as an example \vec{u} = vector between positions of the knee and the ankle markers. This calculation is necessary for each frame captured, equivalent to 30 data per second of capture. 166
167
168
169
170

It should be noted that this calculation does not allow estimating the 3D rotation values (due to the lack of additional markers it is not possible to identify each body segment as a plane but as a vector.) of the joints and given that the joint movement is not limited to a single degree of rotational freedom. Thus, the final angle obtained from the calculation is only an approximation (Figure 4). 171
172
173
174
175



Figure 4. Kinect based system - Data Extraction Software

For variables such as shoulder and hip flexion-extension, for this, and taking into account that the goniometry values are calculated in relation to the anatomical planes, the markers located on the spine are used, and projections of these are created in comparison with the articulation of the movement. For the flexion-extension movement of the shoulder, Spine_shoulder and Spine_mid were used as additional markers, with these and by creating a vector with the beginning of the shoulder to be evaluated, an equivalent to the sagittal plane is created.

Likewise, for hip flexion and extension, an additional vector is constructed emulating the sagittal plane using the Spine_base and Spine_mid markers. to define virtual markers located proximal to the joints to be analyzed. Likewise for shoulder and hip flexion - extension with respect to the vertical (elevation), a vertical vector was created using the vertical axes to calculate the elevation angle.

Shoulder and hip flexion-extension to vertical, according to [13], these can be considered as elevation of the limb with respect to the gravitational line and not towards the coronal plane.

Although the Clinical 3DMA Capture System allows the extraction of other parameters (pelvic rotation, knee adduction and abduction or rotation or ankle flexion extension), these could not be obtained by the Kinect system due to intrinsic limitations, such as noise in the measurements.

In total it is possible to obtain eight parameters:

- Shoulder Flexion-extension (Shoulder FE)
- Arm Elevation (Shoulder Flexion extension with respect to vertical)
- Shoulder Abduction – adduction (Shoulder AA)
- Elbow Flexion-extension (Elbow FE)
- Hip Flexion-extension (Hip FE)
- Thigh Elevation (Hip Flexion extension with respect to vertical)
- Hip Abduction – adduction (Hip AA)
- Knee Flexion-extension (Knee FE)

Moreover, gait cycle events (heel strike and toe off) were estimated by analyzing the vertical displacements of markers, such as those placed on the ankles. Furthermore, after identifying the specific gait cycle to be analyzed, the developed software allows for a

preview of the cycle. If required, adjustments to the start and end times can be made manually by the user. Once the start and end times are confirmed, the software proceeds to normalize the gait cycle, converting the values to a standardized range of 0 to 100%. Figure 5.

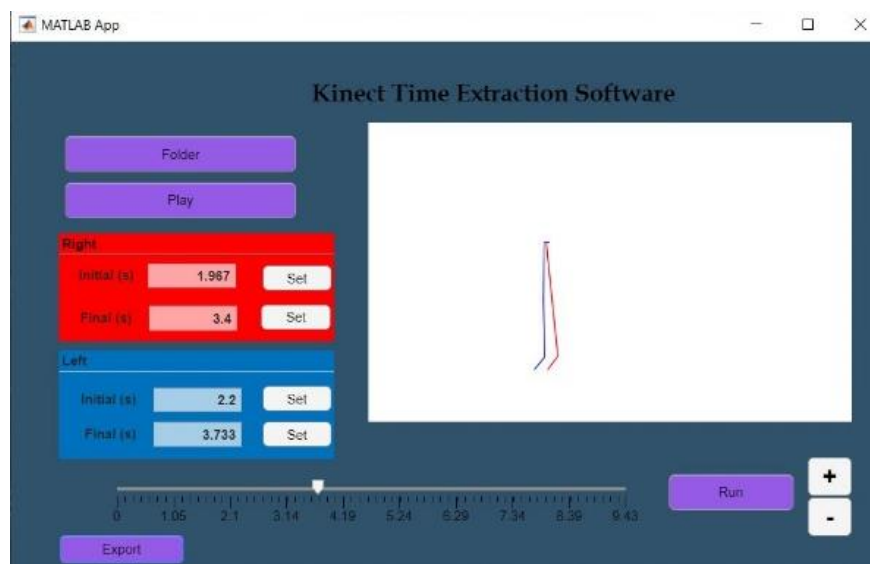


Figure 5. Kinect based system - Time Extraction Software

Test protocol:

To reduce the variables that could affect the results of the tests, volunteers were invited to follow recommendations and indications on the day assigned to the test:

1. Wear comfortable or sports clothing
2. Arrive at least one hour before the test
3. Not having done physical activity on the day of the test
4. Avoid means of transport that require physical effort

These pre-test recommendations were given to volunteers to minimize potential variables affecting test results, with the intention of ruling out alterations caused by physical fatigue, discomfort in data collection due to clothing, time constraints, or haste during the test, and to ensure the correct positioning of the reflective markers of the guidance system.

Participants walked in a laboratory setting allowing simultaneous capture of gait data with the Kincapsys and Clinical 3DMA.

During the test execution, a simultaneous capture approach was employed, using both systems. The volunteers were instructed to freely start to walk within the capture space of both the Kincapsys and the STT system. Each patient underwent a total of six trials. To ensure data consistency and minimize potential confounding factors arising from spatial limitations of the constrained movement capture system, the volunteers were asked to follow the following guidelines: The walking sequence began three times with each extremity, including a minimum of three steps per trial.

Once the curves were extracted, the results obtained using the different capture systems were compared separately for the affected and the non-pathological sides,

considering potential differences between sides, due to the nature of the study sample (shoulder injury). To carry out this comparison between measurement systems, two statistical analyses were implemented, the first using the 1D Statistical Parametric Mapping (SPM 1D). [14 - 16]. Through this methodology, it becomes feasible to perform topological inference (instead of time point tests) in order to compare time-series data (such as kinematics curves) by computing a time-series of the Hotelling t statistics. In the context of SPM, t^* functions as a threshold to identify statistically significant activations or differences. A second analysis used a repeated measures ANOVA (ANOVA RM) [17 - 19]. An alpha of 5% was used as a significance threshold.

To simplify the comparison using repeated measures ANOVA, angular values were extracted at regular intervals of 10% throughout the gait cycle. In the event of a significant effect detected by the ANOVA, a Tukey post-hoc test was conducted to identify specific phases of the gait cycle where discrepancies between the systems were observed. This analytical approach allowed for a comprehensive assessment of any variations in the gait cycle phase across the different systems under investigation.

3. Results

Once the tests were performed, the following results were obtained, separated between the non-pathological side and the pathological side. Table 3 and Figure 6 show the results for non-pathological sides.

Table 3. Data obtained from SPM 1D comparisons for movements of the non-pathological side.

Movement	t^*	p-value
Shoulder FE	3,75	N/A
Arm elevation	3,85	N/A
Shoulder Abd/Add	3,93	0,033
Elbow FE	3,91	N/A
Hip FE	3,84	N/A
Thigh elevation	3,73	N/A
Hip Abd/Add	3,84	N/A
Knee FE	3,89	0,001

The comparison between Kinect and Clinical 3DMA on the non-pathological side showed that only two movements displayed a statistically significant difference, Shoulder abduction and adduction (at the middle of the gait cycle, $p = 0,0149$), and knee flexion extension ($p = 0,0013$, between 60 and 80% of the gait cycle) (Figure 6c and 6h).

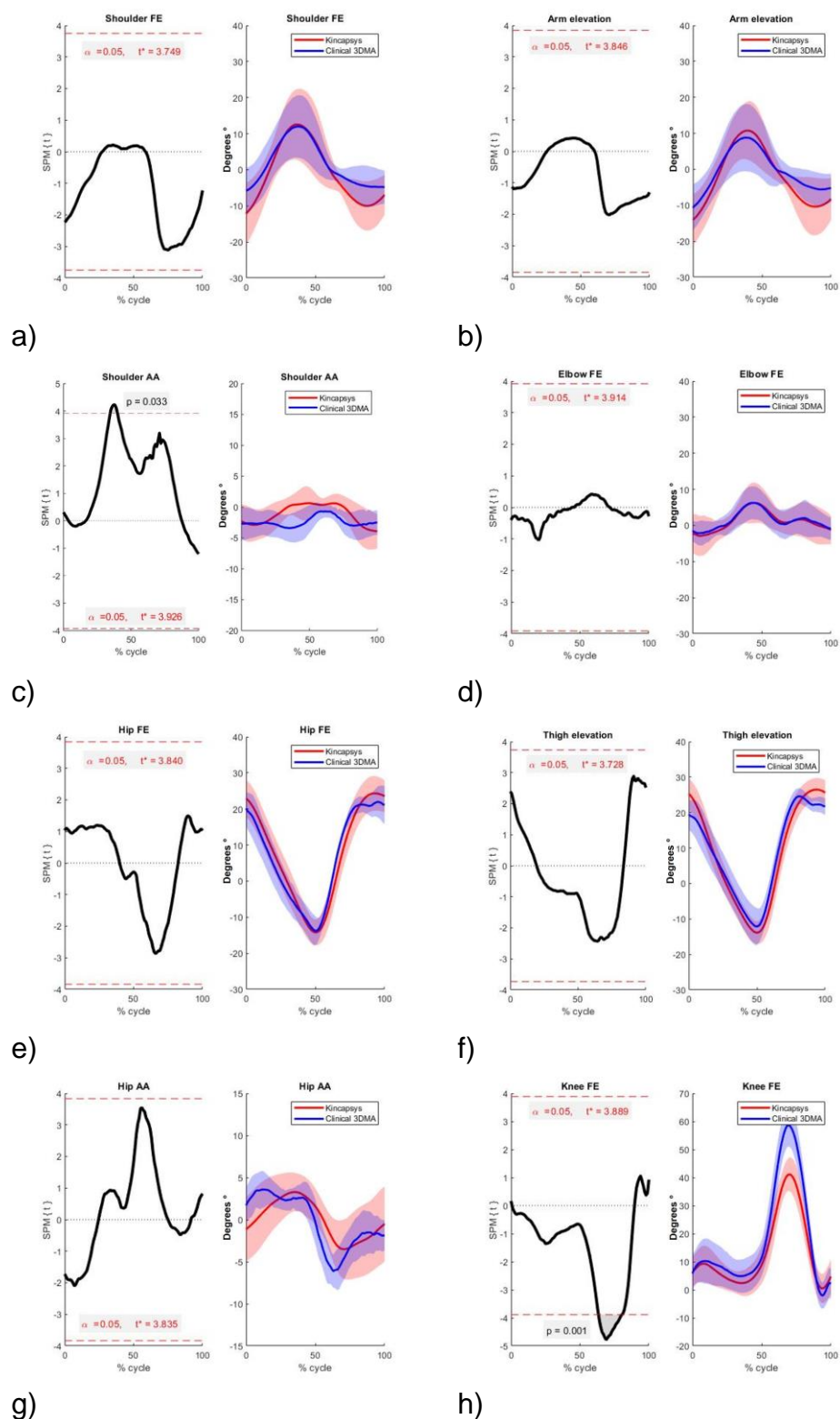


Figure 6. Comparison between systems for the non-pathological sides. On the right side of each figure, the blue curve represents the movement captured by Clinical 3DMA, and the red curve data extracted from Kincapsys. Comparison using SPM 1D is shown on the left side of each figure, the shaded area indicating regions of the curves with the significant difference. a) shoulder Flexion-extension, b) Arm elevation, c) Shoulder Abd/Add, d) Elbow Flexion-extension, e) Hip Flexion-extension, f) Thigh elevation, g) Hip Abd/Add, h) Knee Flexion-extension.

265
266
267
268
269
270

According to the work of [14], for comparisons of SPM in 1D1D, it is possible to parameterize the t^* , as a single value for the region of interest (ROI) of the data, in his work he shows the theoretical consistency between the 0D values (point to point) and 1D (curve with single t^* value) with very little variation. The results shown in Table 4 ANOVA RM for Non-pathological side. The analysis shows that the only significant differences found were for shoulder abduction-adduction ($p=0,033$) and for knee flexion and extension ($p=0,046$).

Table 4. ANOVA RM for Non-pathological side.

		S of squares	DOF	Mean Square	F-Ratio	P-Value
Shoulder FE	Systems	319,26	1	319,26	4,098	0,074
	Error	701,13	9	77,90		
Arm elevation	Systems	161,21	1	161,20	1,460	0,258
	Error	994,10	9	110,46		
Shoulder AA	Systems	70,42	1	70,42	6,195	0,034
	Error	318,57	9	35,40		
Elbow FE	Systems	5,53	1	5,53	0,098	0,761
	Error	506,80	9	56,31		
Hip FE	Systems	31,95	1	31,95	0,2311	0,642
	Error	1244,34	9	138,26		
Thigh elevation	Systems	0,02	1	0,02	0,0003	0,987
	Error	719,40	9	79,93		
Hip AA	Systems	1,40	1	1,40	0,0294	0,868
	Error	429,20	9	47,69		
Knee FE	Systems	1062,75	1	1062,75	5,3431	0,046
	Error	1790,13	9	198,90		

For shoulder adduction-abduction and knee flexion-extension, which showed significant differences, the Tukey Post-Hoc test was computed (Table 5).

Table 5. TUKEY Test (p-values) for Shoulder AA and Knee FE in non-pathological comparison.

%	Shoulder AA	Knee FE
0	1,00	1,00
10	1,00	1,00
20	1,00	0,95
30	0,23	0,99
40	$5 \cdot 10^{-3}$	0,98
50	0,23	0,98
60	0,99	$1,7 \cdot 10^{-4}$
70	0,71	$1,7 \cdot 10^{-4}$
80	0,75	$1,7 \cdot 10^{-4}$
90	1,00	1,00
100	0,98	0,98

With the results obtained, the significant difference between the two systems for knee flexion and extension in non-pathological sides was between 60 and 80% of the gait

cycle, corresponding to the same result obtained by the SPM 1D method. Similarly, the ANOVA RP analysis revealed a significant difference around 40% of the gait cycle just like the SPM 1D, for shoulder abduction and adduction. Furthermore, the comparison of the pathological sides yielded the following results for SPM (Figure 7 and Table 6).

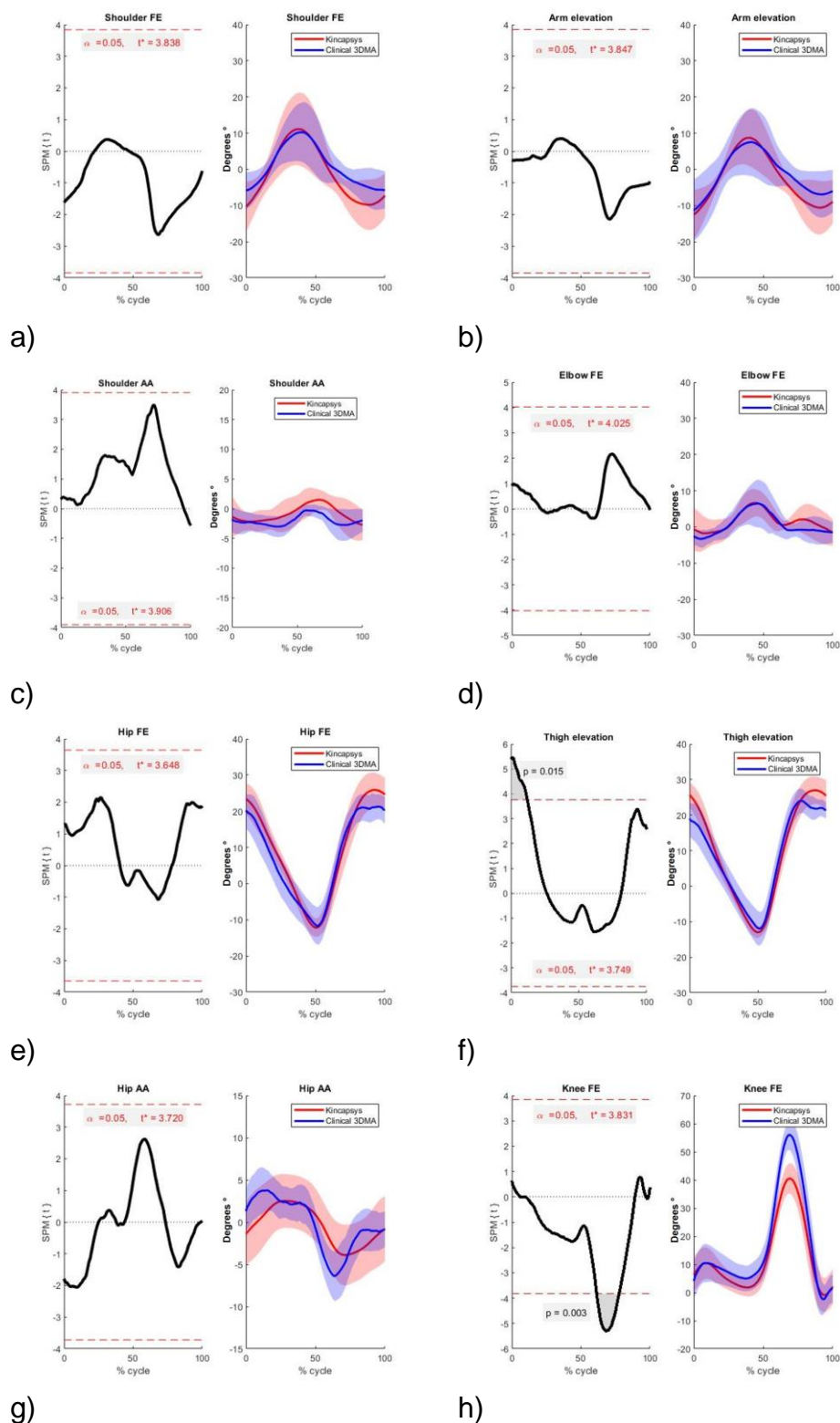


Figure 7. Comparison between systems for the pathological sides. On the right side of each figure, the blue curve represents the movement captured by Clinical 3DMA, and the red curve data extracted from Kincapsys. Comparison using SPM 1D is shown on the left side of each figure, the

285
286
287
288

289
290
291

shaded area indicating regions of the curves with the significant difference. a) shoulder Flexion-extension, b) Arm elevation, c) Shoulder Abd/Add, d) Elbow Flexion-extension, e) Hip Flexion-extension, f) Thigh elevation, g) Hip Abd/Add, h) Knee Flexion-extension.

Table 6. Data obtained from SPM 1D comparisons for movements of the pathological side.

Movement	t*	p-value
Shoulder FE	3,84	N/A
Arm elevation	3,85	N/A
Shoulder Abd/Add	3,90	N/A
Elbow FE	4,02	N/A
Hip FE	3,65	N/A
Thigh elevation	3,75	0,015
Hip Abd/Add	3,72	N/A
Knee FE	3,83	0,003

It is possible to see that there are significant differences in two movements, hip flexion - extension with vertical (elevation), with a p-value of 0,0154 (Figure 7d)) and knee flexion-extension with a p-value of 0,0032 (Figure 7h)).

The Table 7 shows the results of the ANOVA RP, indicating significant differences in knee flexion-extension and shoulder flexion-extension.

Table 7. ANOVA RM for pathological side.

		S of squares	DOF	Mean Square	F-Ratio	P-Value
Shoulder FE	Systems	235,42	1	235,43	9,15	0,014
	Error	231,66	9	25,74		
Arm elevation	Systems	116,23	1	116,23	1,40	0,266
	Error	745,47	9	82,83		
Shoulder AA	Systems	60,10	1	60,10	2,58	0,143
	Error	209,60	9	23,29		
Elbow FE	Systems	33,42	1	33,43	1,09	0,323
	Error	275,74	9	30,64		
Hip FE	Systems	168,74	1	168,74	0,86	0,378
	Error	1769,31	9	196,59		
Thigh elevation	Systems	70,01	1	70,01	0,80	0,394
	Error	786,14	9	87,35		
Hip AA	Systems	5,59	1	5,59	0,10	0,758
	Error	499,73	9	55,53		
Knee FE	Systems	781,89	1	781,89	10,91	0,009
	Error	1790,13	9	198,90		

The Tukey HSD post-hoc test for knee flexion-extension and shoulder flexion-extension (Table 8) shows the portions of the gait cycle displaying significant differences for shoulder and knee flexion. These results are consistent with the SPM 1D analysis for the shoulder flexion extension (Figure 7a), indicating differences between systems at heel strike at the beginning and between 70 and 90% of the gait cycle. For knee flexion-extension, significant differences were found between 60 and 80% of the gait cycle (Figure 7h).

Table 8. TUKEY Test (p-values) for Shoulder FE and Knee FE in pathological comparison.

309

%	Shoulder FE	Knee FE
0	4,2*10 ⁻³	0,99
10	0,88	1,00
20	1,00	0,99
30	0,99	0,97
40	1,00	0,91
50	1,00	0,98
60	0,99	2,2*10 ⁻⁴
70	5,5*10 ⁻³	1,7*10 ⁻⁴
80	2,8*10 ⁻⁴	1,9*10 ⁻⁴
90	3,0*10 ⁻³	1,00
100	0,99	1,00

The findings of statistical comparisons between systems are summarized in Table 9. The table highlights movements showing significant differences and specifies the gait cycle phase where these differences were observed.

310

311

312

Table 9 Summary of the relevant statistical results obtained the comparison between measurement systems. This includes only movements where significant differences were found.

313

314

Movement	Non-pathological side			Pathological side		
	SPM-1D	RM ANOVA	P-value - % gait cycle	SPM-1D	RM ANOVA	P-value - % gait cycle
Shoulder FE	3,75	N/A	0,074	3,84	N/A	0,014 (0%, 70% - 90%)
Shoulder A/A	3,93	0,033	0,034 (40%)	3,90	N/A	0,143
Thigh Elevation	3,73	N/A	0,987	3,75	0,015	0,394
Knee FE	3,89	0,001	0,046 (60% -80%)	3,83	0,003	0,009 (60% -80%)

The movements not indicated in the table did not show differences in the measurements taken by any of the applied methodologies or analyzed sides.

315

316

4. Discussion

317

The analysis of the obtained results confirms a significant difference between the measurement systems, particularly for knee flexion-extension movement. This difference is observed in both the pathological and non-pathological sides, encompassing between 60% to 80% of the gait cycle. A systematically lower knee flexion was estimated by the Kinect system, with a mean difference exceeding 15°. This discrepancy can be attributed to the inherent accuracy limitations of the Kinect system, which estimates marker distances using a point mesh methodology. The dependency of the system on the depth information for location estimation of the relevant markers contributes to the increased error observed.

318

319

320

321

322

323

324

325

326

When examining additional movements, notable differences are observed in shoulder abduction and adduction. These disparities are substantiated by SPM and ANOVA RP analyses, particularly on the non-pathological side around 40% of the gait cycle.

327

328

329

For the pathological side, a significant difference was found in shoulder flexion-extension through the ANOVA RM analysis. However, this difference is not visible through the 1D SPM analysis. This discrepancy may be due to the approximation errors made by the SPM in finding a single valid t* value for 100% of the data. Nevertheless,

330

331

332

333

observing the Figure 7a, it appears that the curves of both systems are within the acceptable range of difference according to this method.

In contrast, the thigh elevation in the test conducted on the pathological side reveals a disparity at the onset of the gait cycle, as indicated by the SPM 1D analysis. This discrepancy can be attributed to the challenges associated with marker placement on the hip, which can introduce errors in position estimation by the Kinect system. The observed differences can be attributed to the methodologies employed by the Kinect system for estimating the positions of joint markers, which rely on depth camera and image recognition. In contrast, the reference system, Clinical 3DMA, utilizes multiple cameras and reflective markers to employ position triangulation to achieve a more precise calculation of the actual joint positions, including the corresponding functional planes.

Other low-cost systems, such as the one implemented by [20], which used measurements with inertial sensors and, when compared to an Optitrack optoelectronic system, showed errors of less than 5° in the obtained results. However, this required the use of 11 sensors placed only on the lower body plus one on the spine. In contrast, this system, compared to the one implemented in this study, demands a more comprehensive patient preparation. On the other hand, in comparison to what was implemented by [21], where their study employed three Kinect devices simultaneously to reduce the observed error, the data calculated by our own development exhibits very close resemblances to the data yielded by the traditional optoelectronic system used as a reference, which used a single sensor. Moreover, taking into consideration the study conducted by [22], it is suggested that the use of the developed application for estimating independent joint movements is feasible.

When considering other technologies for the identification of joint movements, such as that presented by [23], where the use of a smartphone camera allows for the identification of ankle, knee, and hip flexion-extension in the participants of their study, it is important to note that, despite their benefits, these technologies have certain flaws. For instance, the measurement of movements on only one side of the volunteer at a time is affected by the camera's parallel placement with the runway where the volunteers perform their movements. In contrast, the system developed in this research allows for the simultaneous measurement of movements on both sides of the body. Furthermore, by incorporating depth sensor technology, it enables the calculation of movements that, with traditional 2D technologies, would only be estimated with less precision.

The main advantage of using a motion capture system based on Microsoft's Kinect V2 lies in the simplification of installation, setup, and costs. The developed system has a starting cost of \$350, depending on the chosen computer equipment. It is worth noting that this price does not include the cost of the implemented software. In comparison, commercially used systems for the same purpose often have prices exceeding \$10,000 for the most affordable ones.

The main limitation of the study lies in the small sample size, primarily linked to the period of data collection. The COVID-19 pandemic resulted in a marked reduction in participants' willingness to engage in the study due to restrictions, health concerns, and shifts in priorities during this exceptional period. Further studies are needed to confirm and increase the robustness the preliminary data presented in this work.

5. Conclusions

Based on the obtained results, it can be concluded that for the majority of movements, there is no significant difference between the developed motion capture system

and the commercial system, with the exceptions of knee flexion-extension, shoulder ab- 381
duction and adduction, and hip flexion-extension with respect to the vertical (elevation). 382
This finding suggests that the system developed for this specific type of measurement is 383
not only viable but also comparable to commercial systems. 384

The implemented system, although it shows significant differences in certain move- 385
ments compared to traditional optoelectronic systems, stands out in its main advantages 386
such as its low cost (less than \$400), equipment portability, as only a computer and the 387
Kinect V2 system are required, and user-friendliness. Since it doesn't require reflective 388
markers for joint identification, it significantly reduces the preparation time for measure- 389
ments as well as the need for additional supplies and consumables. 390

6. Patents 391

Author Contributions: In the context of this scientific article, all authors have made equal contribu- 392
tions to its development, as the project is an integral part of the first author's doctoral thesis, over- 393
seen by the second and third authors in the capacity of thesis supervisors. It is important to highlight 394
the presence of two supervisors, given the collaborative nature of the thesis between two esteemed 395
institutions: the Université Libre de Bruxelles and the Universidad Militar Nueva Granada. 396

Methodology: Authors 1, 2, and 3 were involved in conceptualizing the methodology, Software de- 397
velopment was primarily led by author 1, and the validation process was a collaborative effort be- 398
tween authors 2 and 3. The initial draft of the manuscript was prepared by author 1, while the sub- 399
sequent writing, review, and editing stages were collectively managed by authors 1, 2, and 3. Over- 400
sight and guidance were provided by authors 2 and 3 throughout the entire process. 401

All authors have thoroughly reviewed and consented to the publication of the final version of the 402
manuscript. 403

Informed Consent Statement: To conduct the measurements, it was essential to establish a protocol 404
for data collection and management, as well as to develop an informed consent form that outlines 405
the type of test to be conducted, the associated risk level, and the rights of the participating volun- 406
teers as patients. The informed consent form adheres to the principles outlined in the Declaration of 407
Helsinki and Resolution No. 8430 of 1993 from the Ministry of Health in Colombia. This document 408
underwent methodological and regulatory review by the ethics committee at the Hospital Militar 409
Central Colombia. 410

All study participants have consented to the analysis, storage, and publication of the obtained re- 411
sults. The appropriately signed informed consents form part of the appendices of the doctoral thesis. 412
Hence, if necessary, they can be directly requested from the corresponding author for submission. 413

Data Availability Statement: The results obtained constitute an integral component of the doctoral 414
thesis authored by the principal researcher. As these findings are currently in a provisional state, 415
there exists a limitation on the immediate publication of the gathered data until the culmination of 416
the doctoral studies. Subsequent to the conclusion of this academic pursuit, the complete dataset 417
can be obtained directly from the corresponding author upon request. 418
419
420

Acknowledgments: We would like to express special thanks to the Doctorate in Applied Sciences 421
at the Universidad Militar Nueva Granada and the Doctorate in Motor Sciences at the Université 422
Libre de Bruxelles. This work is part of the joint thesis developed between both universities. We also 423
wish to acknowledge the authors of [14-16] for providing us with access to their SPM software for 424
Matlab, which was instrumental in analyzing a significant portion of the obtained results. Their 425
generosity and valuable contribution have been invaluable to the development and success of this 426
project. Likewise, we would like to express our gratitude to the volunteers who participated in the 427
study. 428

Conflicts of Interest: The authors of this article affirm that they have no conflicts of interest that 429
could potentially influence the unbiased presentation and interpretation of the research findings 430
outlined in this paper. There are no financial, personal, or other relationships that could be perceived 431
as creating a conflict of interest with regard to the research conducted and the subsequent results 432
presented. This declaration is made to ensure transparency and maintain the integrity of the re- 433
search process and its outcomes. 434

References

1. P. Jacqueline, *Gait Analysis Normal and Pathological Function*, Thorofare: SLACK Incorporated, 1992.
2. Bonnefoy-Mazure A., Armand S., "Normal Gait," *Orthopedic Management of Children with Cerebral Palsy*, 2015.
3. Bloom J., Babak H., "The effects of forearm movements on human gait during walking with various self-selected speeds," *Human Movement Science*, 2021.
4. Akhil V.M., Ashmi M., Rajadrakumar P.K., Sivanandan, K.S., "Human Gait Recognition Using Hip, Knee and Ankle Joint Ratios," *IRBM*, pp. 133-140, 2020.
5. Angelini, L., Damm, P., Zander, T., Arshad, R., Di Puccio, F., Schmidt, H., "Effect of arm swinging on lumbar spine and hip joint forces," *Journal of Biomechanics*, pp. 185-195, 2017.
6. Pino Castillo S. Ponce Bravo G., "Comportamiento de la enfermedad laboral en Colombia 2015-2017," *REvista Fasecolda*, pp. 48-55, 2019.
7. V. L. S. ROJAS, *PREVALENCIA DEL SÍNDROME DE MANGUITO ROTADOR EN PACIENTES VALORADOS EN UNA IPS DE*, Bogotá, 2019.
8. D. N. d. E. DANE, "Población con registro para la localización y caracterización de las personas con discapacidad.," Bogotá, 2010.
9. Chiari L, Della Croce U, Leardini A, Cappozzo A., "Human movement analysis using stereophotogrammetry. Part 2: instrumental errors," *Gait & Posture*, pp. 197-211, 2005.
10. Schmidt S., Böhm H., Dussa C., Bienias K., Fajak A., "Characteristic 3D foot motion patterns during gait of patients with Charcot-Marie-Tooth identified by cluster analysis," *Gait & Posture*, pp. 43-50, 2023.
11. S. STT, "CLINICAL 3DMA," 2022. [Online]. Available: <https://www.stt-systems.com/es/analisis-de-movimiento/captura-de-movimiento-optico-3d/3dma-clinico/>.
12. ©. Microsoft, "Azure Kinect DK depth camera," 29 06 2023. [Online]. Available: <https://learn.microsoft.com/en-us/azure/kinect-dk/depth-camera>.
13. Lacquaniti F., Maioli C., Borghese N.A., Bianchi L., "Posture and movement: Coordination and control," *archives Italiennes de Biologie*, no. 135, pp. 353-367, 1997.
14. Pataky T, Robinson M, Vanrenterghem J., "Region-of-interest analyses of one-dimensional biomechanical trajectories: bridging 0D and 1D theory, augmenting statical power," *PeerJ*, 2016.
15. Pataky T, Vanrenterghem J, Robinson M., "Zero- vs. one-dimensional, parametric vs. non-parametric, and confidence interval vs. hypothesis testing procedures in one-dimensional biomechanical trajectory analysis," *Journal of Biomechanics*, 2015.
16. T. C. Pataky, "Generalized n-dimensional biomechanical field analysis using," *Journal of biomechanics*, pp. 1976-1982, 2010.
17. Acal C, Aguilera A., "Basis expansion approaches for functional analysis of variance with repeated measures," *Advances in Data Analysis and Classification*, 2022.
18. Sinsurin K, Srisangboriboon S, Vachalathiti R. , "Side-to-side differences in lower extremity biomechanics during multi-directional jump landing in volleyball athletes," *Eur J Sport Sci*, 2017.
19. Webster K, Feller J, Wittwer J., "Longitudinal changes in knee joint biomechanics during level walking following anterior cruciate ligament reconstruction surgery," *Gait & Posture*, pp. 167-171, 2012.
20. Piche E., Guilbot M., Chorin F., Guerin O., Zory R., Gerus P., "Validity and repeatability of a new inertial measurement unit system for gait analysis on kinematic parameters: Comparison with an optoelectronic system," *Measurement: Journal of the International Measurement Confederation*, 2022.
21. Chakraborty S., Mondal D., Nandy A., "Measurement: Journal of the International Measurement Confederation," in *INDICON 2018 - 15th IEEE India Council International Conference*, Coimbatore, 2018.
22. Çubukçu B., Yüzgeç U., Zileli R., Zileli A., "Reliability and validity analyzes of Kinect V2 based measurement system for shoulder motions," *Medical Engineering and Physics*, pp. 20-31, 2020.
23. Viswakumar, A., Rajagopalan, V., Ray, T., Gottipati, P., & Parimi, C., "Development of a Robust, Simple, and Affordable Human Gait Analysis System Using Bottom-Up Pose Estimation With a Smartphone Camera", *Frontiers in Physiology*, 2022.

Disclaimer/Publisher's Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.