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**Pulmonary Hypertension in the Context of Heart Failure
with Preserved Ejection Fraction**

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11 4 **Pulmonary Hypertension in the Context of Heart Failure with Preserved Ejection Fraction**

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13
14 5 Chakradhari Inampudi M.D.¹(Inampudi@musc.edu), Daniel Silverman M.D.¹
15
16 6 (Silvermd@musc.edu), Marc A. Simon M.D, M.S.² (Marc.Simon@ucsf.edu), Peter J. Leary M.D.,
17
18 7 Ph.D.³(Learyp@uw.edu), Kavita Sharma, M.D.⁴(Ksharma8@jhmi.edu), Brian A. Houston
19
20 8 M.D.¹(houstobr@musc.edu), Jean-Luc Vachiery M.D.⁵(Jeanluc.vachiery@erasme.ulb.ac.be),
21
22 9 Francois Haddad M.D.⁶(Fhaddad@stanford.edu), Ryan J Tedford, M.D.¹(TedfordR@musc.edu)

23
24 10 1. Division of Cardiology, Department of Medicine, Medical University of South Carolina,
25 11 Charleston, SC USA

26 12 2. Division of Cardiology, Department of Medicine, University of California San Francisco, San
27 13 Francisco, CA USA

28 14 3. Department of Medicine, University of Washington, Seattle, Washington USA

29 15 4. Division of Cardiology, Department of Medicine, Johns Hopkins School of Medicine,
30 16 Baltimore, MD USA

31 17 5. Département de Cardiologie Cliniques Universitaires de Bruxelles-Hôpital Erasme, Brussels,
32 18 Belgium.

33 19 6. Division of Cardiovascular Medicine and Stanford Cardiovascular Institute, Stanford
34 20 University School of Medicine, Stanford CA USA

35 21
36 22 First Author:

37 23 Chakradhari Inampudi M.D.
38 24 *Assistant Professor Medicine*
39 25 30 Courtenay Drive, MSC592
40 26 Charleston, SC 29425, USA
41 27 Email: Inampudi@musc.edu
42 28 Twitter Handle: @chakri0012

43 29
44 30 Correspondence to:

45 31
46 32 Ryan J. Tedford, MD, FACC, FAHA, FHFSA
47 33 *Dr. Peter C. Gazes Endowed Chair in Heart Failure*
48 34 *Professor of Medicine/Cardiology*

1
2
3 1 *Chief, Heart Failure*
4 2 *Medical Director, Cardiac Transplantation*
5 3 *Director, Advanced Heart Failure & Transplant Fellowship Training Program*
6 4 Medical University of South Carolina (MUSC)
7 5 30 Courtenay Drive
8 6 BM215, MSC592
9 7 Charleston, SC 29425
10 8 (843) 792-3355 *office*
11 9 (843) 876-4990 *fax*
12 10 *Email: TedfordR@musc.edu*
13 11 *Twitter Handle: @RyanTedfordMD*
14
15
16
17

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53 42 Right Ventricle; Diastolic Heart Failure; Left Heart Disease
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CONFIDENTIAL

1 **Abstract**

2 Heart failure with preserved ejection fraction (HFpEF) is the most common form of heart
3 failure and is frequently associated with pulmonary hypertension (PH). PH-HFpEF may be
4 difficult to distinguish from pre-capillary forms of PH, though this distinction is crucial as
5 therapeutic pathways are divergent for the two conditions. A comprehensive and systematic
6 approach utilizing history, clinical exam, non-invasive and invasive evaluation with and without
7 provocative testing may be necessary for accurate diagnosis and phenotyping. Once diagnosed,
8 PH-HFpEF can be subdivided into isolated post-capillary pulmonary hypertension (IpcPH) and
9 combined post- and pre-capillary pulmonary hypertension (CpcPH) based on the presence or
10 absence of elevated pulmonary vascular resistance (PVR). CpcPH portends a worse prognosis
11 than IpcPH. Despite its association with reduced functional capacity and quality of life, heart
12 failure hospitalizations, and higher mortality, therapeutic options focused on pulmonary
13 hypertension for PH-HFpEF remain limited. In this review, we aim to provide an updated
14 overview on clinical definitions and hemodynamically characterized phenotypes of PH,
15 pathophysiology, therapeutic strategies, and ongoing challenges in this patient population.

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1 Introduction and Epidemiology

2 Heart failure with preserved ejection fraction (HFpEF) is the most common form of heart failure
3 and is frequently complicated by the development of pulmonary hypertension (PH). The prevalence of
4 PH in HFpEF varies widely based on population, study design, the definition of PH, and diagnostic
5 modalities, with estimates ranging from 30-80%.¹ The hemodynamic and functional alterations that
6 occur in the setting of abnormal cardiovascular structure and function contribute to the development of
7 PH.² PH-HFpEF is associated with dyspnea, ventilatory impairments, reduction in aerobic capacity, high
8 symptom burden, an increase in hospitalizations, and higher mortality.³ The present paper on PH-HFpEF
9 reviews the definition and classification, pathophysiology, challenges with diagnosis, and current and
10 emerging treatment strategies.

12 Definition and Classification

14 The hemodynamic definition of pulmonary hypertension due to left heart disease (PH-LHD),
15 also classified as World Health Organization (WHO) Group 2 PH, has been proposed during the 6th WSPH
16 as a mPAP >20 mm Hg and a PAWP >15mmHg as measured by right heart catheterization (RHC).⁴ The
17 lower threshold of mPAP is based on reference data in healthier controls and was selected to harmonize
18 with the new definition of PAH.⁵ Among those with recognized PH-LHD, individuals are now stratified
19 into lpcPH and CpcPH solely based on PVR of < or ≥ 3 Wood units (WU). CpcPH, is associated with
20 reduced RV function and increased morbidity and mortality compared to lpcPH.⁶ A recent analysis of
21 40,082 patients undergoing RHC in the U.S Veterans Affairs health-care system found excess adjusted
22 all-cause mortality began at a threshold of 2.2 WU, suggesting even lower PVR values may be clinically
23 relevant.⁷ Finally, PAC, pulmonary arterial compliance (PAC), (estimated as stroke volume/PA pulse
24 pressure), and pulmonary arterial elastance (Ea), defined as systolic PA pressure/stroke volume, are

1 both impacted by left atrial hypertension and PVR. They may better represent the total RV afterload
2 compared to pre-capillary parameters. Although they are associated with more significant RV
3 dysfunction and are better predictors of outcomes in PH-HFpEF, they are not helpful in distinguishing
4 lpcPH and CpcPH.⁸

5 As these definitions and concepts rely heavily on hemodynamic definition, proper
6 measurements of both pressures and cardiac output become essential. While directly measured cardiac
7 output remains the gold standard measurement, the use of a metabolic cart for indirect calorimetry is
8 not widespread in clinical practice. More often, indirect or 'assumed' Fick is utilized, though it has been
9 demonstrated that its use may lead to inaccurate measures of cardiac output in the setting of heart
10 failure and PH.^{9,10} Therefore, thermodilution technique is preferred even in the setting of tricuspid
11 regurgitation and low cardiac output.¹¹

13 **Pathophysiology**

14 *Pulmonary vasculature*

15 In patients with HFpEF, abnormal myocardial active relaxation and increased passive stiffness of
16 the left ventricle leads to elevation in left ventricular and thus left atrial pressures to maintain cardiac
17 output.¹² Left atrial pathology itself may further contribute, exposing the lung vasculature to passive
18 elevation in pressure.¹³ Ultimately, elevated pressure and/or poor cardiac output leads to the
19 development of a pre-capillary component due to pulmonary vasoconstriction, which is at least partially
20 functional, and in some cases, structural remodeling of the pulmonary veins, capillaries, and arteries
21 occur.^{14,15}

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3 1 Several factors contribute to the functional pathology in PH-HFpEF. Left atrial hypertension
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5 2 causes stress failure of the alveolar-capillary junction with the development of pulmonary edema. The
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7 3 edema activates inflammatory mediators that increases endothelin-1 expression, decrease in nitric
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9 4 oxide and natriuretic peptide activity. This may also lead to fibroblast proliferation, occlusion of the
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11 5 lumen and thickening of the alveolar septa. The remodeling is reflected by impairment of gas exchange,
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13 6 contributing to dyspnea and hypoxemia.^{16,17} Obokata and colleagues, in a prospective hemodynamic
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15 7 study of 38 patients with HFpEF with 20 controls, found that the HFpEF subjects with PH displayed
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17 8 activation of endothelin and adrenomedullin neurohormonal pathways. The C-terminal pro-endothelin-
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19 9 1 and MR—pro ADM levels were strongly correlated with mean PA pressure ($r = 0.73$ and 0.65 , both $P <$
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21 10 0.0001) and PAWP ($r = 0.67$ and 0.62 , both $P < 0.0001$) and inversely correlated with PAC ($r = -0.52$ and -
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23 11 0.43 , both $P < 0.001$).¹⁸ As mentioned above, left atrial hypertension also lowers PAC, making the
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25 12 vasculature stiff, increasing pulmonary pulse pressure, and indirectly increasing PVR. Furthermore,
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27 13 engorged lymphatics and edema may compress small distal lung arterioles, contributing to the pre-
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29 14 capillary component.¹⁹

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35 15 The above factors and others eventually promote remodeling in the pulmonary arteries and
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37 16 veins with various combinations of intimal proliferation, medial hypertrophy, and adventitial thickening.
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39 17 In a landmark study of patients with PH-HFpEF, Fayyaz, et al found evidence of significant venous
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41 18 remodeling, with similar pathologic appearance to pulmonary veno-occlusive disease. In keeping, it was
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43 19 venous and intermediate vessel changes that were more closely associated with pulmonary pressures
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45 20 than the arterial remodeling.²⁰ Angiotensin II and transforming growth factor-beta 1, the most potent
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47 21 stimulators of collagen synthesis have, been implicated in the remodeling process.²¹

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51 22 The development of functional vasoconstriction and remodeling are presumed to be pathologic,
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53 23 though it remains possible that these mechanisms are instead compensatory; that is, to “protect” the
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55 24 left heart from excessive preload. In a cohort free of cardiac disease, higher endothelin-1 levels at

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3 1 baseline were protective of future heart failure events and were also associated with smaller LV size and
4
5 2 higher LV ejection fraction.²² Animal models of HFpEF and specifically PH-HFpEF have recently been
6
7 3 developed, and may ultimately improve our understanding of the development of pulmonary vascular
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9 4 disease as well as heart dysfunction.^{23,24}
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16 6 *Right Ventricle*

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18 7 The function of the right ventricle (RV) is likely the most important prognostic factor in PH-
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20 8 HFpEF.^{25,26} RV diastolic dysfunction may occur early in the disease course. In a study of 24 compensated
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22 9 HFpEF patients with preserved CO and mildly elevated pulmonary pressures and 9 patients without
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24 10 heart failure symptoms who underwent RV pressure-volume measurement, increased RV stiffness and
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26 11 prolonged RV relaxation was present, though RV systolic function was preserved.²⁷ Later in the disease,
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28 12 overt RV systolic dysfunction can develop.²⁸ RV systolic function depends on both the afterload imposed
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30 13 on it from the pulmonary circulation and intrinsic myocardial contractility. PVR and PAC both contribute
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32 14 to increased resistive and pulsatile afterload.²⁹ Chronically elevated afterload results in RV hypertrophy
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34 15 as a compensatory mechanism. With sustained afterload, chamber dilation, tricuspid regurgitation,
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36 16 fibrosis, and loss of contractility, ultimately irreversible decrease in RV function ensues.²⁸ The processes
37
38 17 of adaptation giving way to maladaptation and gene expression-related pathways that drive this
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40 18 transition are largely unknown. Co-morbidities like atrial fibrillation (AF) and obesity frequently co-exist
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42 19 in HFpEF patients and may contribute to the inflammatory milieu, right ventricular fibrosis, and even
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44 20 myocyte dysfunction. In 63 HFpEF patients with RV septal endomyocardial biopsy, those with marked
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46 21 obesity exhibited more depressed RV systolic sarcomere function yet less passive myocyte stiffening.³⁰
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48 22 Thus, although not well characterized, it appears certain patient phenotypes may have an “at-risk” RV
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50 23 less able to compensate for increases in afterload.
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1 *RV-LV Interactions and Atrial Fibrillation*

2 Ventricular interaction may play a significant role in the pathophysiology and functional limitations
3 witnessed in PH-HFpEF, particularly in those with CpcPH.³¹ LV transmural pressure, estimated as PAWP
4 minus right atrial pressure (RAP), represents the true distending pressure of the LV (i.e. preload). In
5 HFpEF without PH and lpcPH, PAWP typically increases out of proportion to RAP during exertion,
6 increasing the LV transmural pressure. However, CpcPH patients demonstrate greater increases in RAP
7 during exercise, enhanced ventricular interdependence, and a paradoxical reduction in LV transmural
8 pressure. These changes, indicative of pericardial restraint, are associated with both impaired cardiac
9 reserve and more significant reductions in aerobic capacity.³¹ Worsening pulmonary vascular disease,
10 right heart failure and pericardial restraint has also been described in a sub-phenotype of HFpEF with
11 permanent atrial fibrillation. Reduced left atrial compliance and impaired mechanics are associated with
12 increasing burden of atrial fibrillation. Atrial fibrillation and left atrial dysfunction appear to be strong
13 promoters of PH in HFpEF.³²

15 **Diagnosis of PH-HFpEF**

16 The evaluation of a patient with suspected PH in HFpEF requires comprehensive clinical,
17 echocardiographic, and hemodynamic assessments. A high degree of suspicion is necessary for the
18 diagnosis of PH-HFpEF, which can easily be misdiagnosed as PAH.² Factors associated with PH-LHD
19 include the presence of AF, left atrial enlargement, age > 60 years, coronary artery disease, and BMI >
20 30 kg/m² (TABLE 1), and these factors help determine the pre-test probability of PH-LHD. The physical
21 exam and chest X-ray provide signs of fluid retention or signs of RV failure. Transthoracic
22 echocardiography (TTE) serves as an excellent screening tool and is the first step in the evaluation of
23 patients suspected of PH. While elevated PA systolic pressures (PASP) values derived from Doppler

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3 1 echocardiography correlate with the presence of elevated pulmonary pressures, the accuracy of PASP
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5 2 estimates are variable between studies.³³ Better accuracy can be observed when using modal frequency
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7 3 for velocity estimates, optimizing insonation angle and resisting the temptation of estimating pressures
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9 4 in the presence of an incomplete signal.³⁴ Pulmonary function testing can identify associated lung
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11 5 disease and aid in PH classification. Cardiopulmonary exercise testing (CPET) may be diagnostically
12
13 6 useful as higher V_e/V_{CO_2} slope is associated with more significant pre-capillary disease whereas the
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15 7 presence of exercise oscillatory ventilation (EOV) is more common in isolated heart failure.³⁵ Individuals
16
17 8 with CpcPH should be ruled out for chronic thromboembolic disease and other conditions associated
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19 9 with pre-capillary disease as guided by history, physical exam and diagnostic evaluation.
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24 10 Though TTE is the recommended screening tool, RHC remains the gold standard for diagnosis
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26 11 and proper phenotyping.³⁶ Most importantly, PAH must be excluded given the disparate therapeutic
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28 12 strategies between it and PH-HFpEF. The key hemodynamic differentiator is the PAWP, which is typically
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30 13 measured at end-expiration during normal respiration when intrathoracic pressure is closest to zero.³⁷ In
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32 14 situations of severe lung disease and perhaps morbid obesity, large respiratory swings may be present,
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34 15 and averaging over the respiratory cycle may be more appropriate, though in these two populations
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36 16 proper measurement remains a point of debate.^{38,39} Whenever the PAWP tracing morphology is atypical
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38 17 or pre-capillary PH is suspected clinically despite a measured PAWP >15 mm Hg, a PAWP oxyhemoglobin
39
40 18 saturation content should be analyzed.⁴⁰ A truly wedged catheter will yield a oxyhemoglobin saturation
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42 19 reflective of the post-capillary pulmonary bed, typically >90-95%. Lower values should prompt repeat
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44 20 attempts to wedge, including alternate vascular areas or consideration of direct LV measurement.
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49 21 Despite the importance of an accurately measured PAWP, a single variable may be inadequate
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51 22 to confirm a diagnosis of PH-LHD. This may be particularly relevant when considering the new and
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53 23 lower mPAP threshold to diagnosis PH where the elderly and those with chronic heart and lung disease
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55 24 may be over-represented among men and women with mildly elevated mPAP (from 19-24mmHg).⁴¹ As
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3 1 such, particularly among individuals with mild PH, the pretest probability for PH-LHD should be higher.
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5 2 With the use of diuretics and afterload reduction, it is possible to lower PAWP into the normal range
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7 3 despite the presence of significant LHD. This has led some experts to suggest a diagnostic RHC be
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9 4 performed before volume status optimization.¹¹ When there is an intermediate to high probability of
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11 5 PH-LHD, but hemodynamics meet criteria for a pre-capillary disease, additional testing should be
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13 6 considered. FIGURE outlines a suggested algorithm from the 6th WSPH.³⁷ Given the practical and
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15 7 technical challenges with exercise, a fluid challenge is generally the preferred way to detect occult left
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17 8 heart disease, specifically when differentiating group 1 from group 2 PH. A PAWP >18 mm Hg
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19 9 immediately following the administration of 500 cc of normal saline over 5 minutes (or weight-based
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21 10 dosing of 7 cc/kg) is considered abnormal and suggestive of PH-LHD.^{37,42,43} At expert centers, cycle
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23 11 ergometry may be performed as an alternative, with a cutoff of PAWP \geq 25 mmHg during supine exercise
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25 12 (or \geq 20 mmHg during upright exercise) generally accepted as representing the presence of left heart
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27 13 disease. It should be noted, however, that pressures taken during exercise may vary significantly
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29 14 depending on how the PAWP is measured in respect to the respiratory cycle.³⁶ The position statement
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31 15 from ERS recommends averaging over the respiratory cycle during exercise.⁴⁴ The multi-point slope of
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33 16 PAWP and cardiac output may prove to be a more reliable measure to discriminate between occult LHD
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35 17 and normal left-sided response to exercise, with > 2 mm Hg/L/min being considered abnormal.⁴⁵ It may
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37 18 also be less prone to issues with respiratory swings if all pressures are measured in a consistent
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39 19 manner.⁴⁶
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49 21 **Therapeutic strategies**

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52 22 The significant gaps in understanding the complex pathobiology processes and comorbidities
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54 23 that complicate or drive the development and progression of PH has rendered the search for effective
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3 1 therapies particularly difficult. Furthermore, PH may represent a marker of disease severity rather than
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5 2 an optimal target for therapy. Select clinical trials with biologic plausibility to treat PH-HFpEF are
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7 3 summarized in TABLE 2. Currently, there are no pharmacologic agents that are approved to specifically
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9 4 target PH in the setting of HFpEF, a result of disappointing results from these numerous studies. Loop
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11 5 diuretics for relief of volume overload and concomitant treatment of co-morbidities are the mainstays of
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13 6 therapy in HFpEF, and therefore, PH-HFpEF. In addition to normalization of intracardiac and pulmonary
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15 7 pressures and symptom relief, diuresis associated increases in PAC reduce pulsatile loading to the RV.
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17 8 The use of pulmonary artery monitoring devices may be particularly useful to achieve and maintain
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19 9 euvoemia. The CHAMPION trial was a prospective randomized control trial that enrolled 550 patients
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21 10 with heart failure with both reduced and preserved ejection fraction. Subjects randomized to
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23 11 hemodynamic guided care had substantially more medication titrations (principally diuretics) and
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25 12 experienced a significant reduction in heart failure hospitalizations compared to controls. For one of the
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27 13 first times, subjects with HFpEF and HFrEF showed similar benefit.⁴⁷ Post-marketing surveillance study of
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29 14 more than 2000 patients (34% PH-HFpEF) yielded similar results.⁴⁸

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35 15 The role of mineralocorticoid receptor antagonist spironolactone in HFpEF was studied in the
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37 16 TOPCAT trial.⁴⁹ Although the trial failed to meet its primary endpoint, perhaps due to enrollment
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39 17 irregularities in Russia and Georgia, a reduction in HF hospitalizations were noted.⁵⁰ Animal and
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41 18 preliminary human data in PAH suggest that aldosterone antagonists may reduce pulmonary
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43 19 vasoconstriction by attenuating the adverse effects of hyperaldosteronism on endothelin type-B
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45 20 receptor function in pulmonary endothelial cells.⁵¹ Whether this may add particular benefit in PH-HFpEF
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47 21 is unknown. In addition, a large cohort of veterans with pulmonary hypertension suggested a mortality
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49 22 benefit with ace inhibitors and angiotensin-receptor blockade. This included veterans with left heart
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51 23 disease; however, HFpEF was not specifically differentiated in this cohort.⁵²

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3 1 In addition to the optimization of filling pressures, management of the underlying comorbidities
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5 2 like AF, coronary artery disease, systemic hypertension, metabolic syndrome, and diabetes mellitus is
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7 3 necessary. These factors are prognostically relevant and may be associated with unique and targetable
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10 4 phenotypes.^{53,54} The presence of underlying valvular heart disease should be investigated and
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12 5 considered as potential therapeutic targets. AF is highly comorbid with HFpEF, with the combination
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14 6 often exacerbating the likelihood of hospitalization. Rhythm control strategies including catheter
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16 7 ablation may offer benefit over rate control, though data from a prospective, randomized control trial is
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19 8 needed.⁵⁵ With the increasing prevalence of an obesity/metabolic HFpEF phenotype, weight loss
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21 9 management, and aerobic exercise are critical interventions and have both been shown to improve
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23 10 exercise tolerance and reduce body weight in HFpEF.⁵⁶
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29 12 *PAH targeted therapies*

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32 13 Studies of pulmonary vasodilators in PH-HFpEF have largely been disappointing. Although
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34 14 phosphodiesterase-5 (PDE5) inhibitors have proven efficacious in PAH, their role in PH-HFpEF remains
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36 15 unproven. In a prospective placebo-controlled single-center trial of 44 patients with PH-HFpEF defined
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38 16 by elevated pulmonary artery systolic pressure of (PASP) > 40 mm Hg and severe right ventricular
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40 17 dysfunction, sildenafil use compared to placebo showed improvement in pulmonary pressures, RV
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42 18 function and RV dimensions at 6 months.⁵⁷ Though it was not enriched for CpcPH, the RELAX study of
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44 19 sildenafil use in subjects with HFpEF did not improve mean pulmonary artery pressure, PAWP, CO,
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46 20 exercise tolerance or VO₂.^{58,59} In a post hoc analysis of the trial, sildenafil failed to reduce RV
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48 21 afterload.⁶⁰ Similar results were obtained in other studies of predominately lpcPH.⁵⁸ In a randomized
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50 22 double-blind placebo-controlled trial of 222 patients (108 CpcPH and 80 lpcPH) with PH-HFpEF related
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52 23 to successfully corrected (at least 1 year before enrollment) valvular heart disease, sildenafil treatment
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1 was associated with worse clinical outcomes compared to placebo.⁶¹ The PASSION trial evaluating the
2 effects of tadalafil on HFpEF and CpcPH is currently underway.

3 While PDE5 inhibitors have failed to show benefit in undifferentiated PH-HFpEF and remain under
4 investigation in CpcPH, another class of medications affecting the same downstream signaling pathways
5 has also been evaluated. Whereas PDE5 inhibitors prevent the breakdown of cGMP – a vasodilatory and
6 antiproliferative molecular signal – the soluble guanylyl cyclase stimulators (sGC stimulators) function to
7 directly increase sGC levels in the vasculature and other tissues. DILATE-1 trial evaluated the role of the
8 sGC stimulator riociguat compared to placebo in 39 patients with PH-HFpEF and notably included 5
9 patients with CpcPH. No significant change in mPAP or PVR at 6 hours was noted in this proof-of-
10 concept study. Subsequent, large prospective randomized trials of sGC-stimulators have shown no
11 benefit in enrolled, undifferentiated HFpEF subjects.⁶²⁻⁶⁴ Finally, several investigations targeting the
12 endothelin pathway have been reported. A study of the selective endothelin type A (ET_A) receptor
13 sitaxsentan in 192 HFpEF subjects did not meet statistical significance for end points including New York
14 Heart Association (NYHA) functional class, heart failure hospitalizations, measures of diastolic function,
15 or quality of life questionnaire scores. However, there was a significant increase in median treadmill
16 time.⁶⁵ The MELODY-1 evaluated the acute role of the endothelin receptor antagonist macitentan in
17 patients with CpcPH identified by diastolic pressure gradient ≥ 7 mmHg and PVR ≥ 3 WU. The trial was
18 powered to evaluate safety endpoints. At 12 weeks, more adverse events were noted with macitentan
19 compared to placebo with no improvement in PVR or mean right atrial pressure.⁶⁶ Unfortunately, the
20 SERENADE trial, which specifically enrolled patients with HFpEF and pulmonary vascular disease or RV
21 dysfunction was stopped prematurely (NCT03153111). **At present, PAH-specific therapies should be**
22 **avoided in PH-HFpEF.**³⁷ This recognition is relevant given relatively recent real-world evidence
23 suggesting that PAH specific therapies may be prescribed nearly as frequently for PH-LHD as PAH and

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3 1 are used more frequently than might be assumed for PH-LHD in the rare setting of complex and
4
5 2 multifactorial etiologies.⁶⁷
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8 3 *Early phase or investigational therapies*
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11 4 Several on-going pharmacologic and device therapies are being studied in PH-HFpEF. Early
12
13 5 phase studies of intra-atrial septal devices (IASD) have shown promise in exercise-induced HFpEF but
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15 6 have not specifically enrolled patients with PH-HFpEF.^{68,69} In early studies, IASD were associated with
16
17 7 reduction in PVR, pulmonary Ea and improvement in PAC at 6 months.⁶⁹ In an open-label study, the use
18
19 8 of IASD was associated with sustained improvements in NYHA functional class (P <0.001), quality of life
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21 9 (P < 0.001) and 6- minute walk distance (P < 0.01).⁷⁰ Whether those with CpcPH may be at higher risk of
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23 10 RV dilation or dysfunction after these therapies remain unknown. In a study of 37 patients with group 2
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25 11 PH with HF with EF of at least 40%, NYHA II or III heart failure, once weekly Levosimendan compared to
26
27 12 placebo showed did not significantly reduce the primary endpoint of exercise PAWP (-1.4 mmHg, 95% CI
28
29 13 [-7.8, 4.8],p=0.65). However, Levosimendan reduced PAWP measured across all exercise stages (-3.9±2.0
30
31 14 mmHg, p=0.047), and resulted in a 29.3 meter (95% CI [2.5, 56.1], p=0.033) improvement in 6 minute
32
33 15 walk distance compared to placebo.⁷¹ Metformin is currently being studied in a phase II trial for PH-
34
35 16 HFpEF (NCT03629340) based upon several lines of evidence including improved heart failure outcomes
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37 17 in patients with type 2 diabetes in several large observational cohorts, decrease in cardiac work
38
39 18 associated with reduced myocardial glucose uptake and fatty acid oxidation, and improvements
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41 19 metabolism in both skeletal muscle and pulmonary vascular smooth muscle via upregulation of the
42
43 20 SIRT3-AMPK-Glut4 pathway.⁷² In keeping with the metabolic mechanisms contributing to HFpEF, two
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45 21 large, randomized trials (EMPEROR-Preserved - NCT03057951; DELIVER - NCT03619213) are evaluating
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47 22 the role of SGLT2 inhibitors in HFpEF; results of which could inform the management of PH-HFpEF.
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1 Nitrite, which is reduced to nitric oxide by hemoglobin, is currently being studied in an oral
2 formulation for PH-HFpEF (NCT03015402), having shown promise in an inhaled formulation in PH-HFpEF
3 predominately through improvement in PAC. However, a broader study in HFpEF (uncontrolled for PH)
4 did not show improvement in exercise capacity as assessed by CPET and 6-minute walk distance.⁷³ Early-
5 phase studies of oral milrinone have also been conducted suggesting improvement in exercise
6 hemodynamics and improved quality of life measures.⁷⁴ Finally, PA denervation therapy in CpcPH was
7 studied in the PADN-5 study, which included approximately 40% of subjects with HFpEF. Compared with
8 sildenafil alone, PA denervation resulted in a significant increase in 6-min walk and lower PVR.⁷⁵
9 Additional studies of this potentially promising therapy, such as TROPHY-II (NCT03611270) are
10 underway.

11 12 **Conclusion**

13 In HFpEF, the development of pulmonary hypertension is recognized as an important
14 contributor to morbidity and mortality. Differentiation from PAH can be subtle and requires careful
15 attention during diagnostic evaluation. Recent studies have paved the way for a better understanding
16 of the pathobiology and relevant diagnostic and prognostic methodologies. Those therapeutic
17 interventions aimed at targeting elevated pulmonary pressures have largely been disappointing but
18 novel therapeutic strategies are currently being studied.

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3 **1 Figure Legend**
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6 2 Figure. Hemodynamic assessment of pulmonary hypertension (PH) due to heart failure with preserved
7
8 3 ejection fraction (HFpEF). RV: right ventricular; RHC: right heart catheterization; LHD: left heart disease;
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10 4 PAWP: pulmonary arterial wedge pressure; LVEDP: left ventricular end-diastolic pressure; CTEPH:
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12 5 chronic thromboembolic PH. a) Pre-test probability of PH-LHD is based on the features presented in
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14 6 table 1. RHC is recommended in intermediate probability when risk factors of pulmonary arterial
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16 7 hypertension/CTEPH are present and/or if there is evidence of right ventricle abnormality. If the
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18 8 probability is high, patients should be managed according to recommendations for LHD. b) For the
19
20 9 assessment of PH, RHC should be performed at expert centers. In patients with intermediate/high
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22 10 probability (table 1) and PAWP between 13 and 15 mmHg, PH-HFpEF is not excluded; provocative
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24 11 testing (tables 2 and 3) should be considered. #: for patients with systemic sclerosis, risk factors for
25
26 12 CTEPH and/or unexplained dyspnea; ¶: after [2]; †: if PAWP >15 mmHg, LVEDP validation should be
27
28 13 considered. Reproduced with permission of the © ERS 2021: European Respiratory
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30 14 Journal 53 (1) 1801897; DOI: 10.1183/13993003.01897-2018 Published 24 January 2019.⁴¹
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Abbreviation List

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7 HFpEF: heart failure with preserved ejection Fraction
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9 PH: pulmonary hypertension
10
11 IpcPH: isolated post-capillary pulmonary hypertension
12
13 CpcPH: combined post- and pre-capillary pulmonary hypertension
14
15 WSPH: World Symposium on Pulmonary Hypertension
16
17 PAH: Pulmonary Arterial Hypertension
18
19 mPAP: mean pulmonary artery pressure
20
21 LHD: left heart disease
22
23 PAWP: pulmonary artery wedge pressure
24
25 CO: cardiac output
26
27 WU: Wood units
28
29 RHC: right heart catheterization
30
31 PVR: pulmonary vascular resistance
32
33 PAC: pulmonary arterial compliance
34
35 Ea: pulmonary arterial elastance
36
37 RV: right ventricular
38
39 BMI: body mass index
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41 TTE: transthoracic echocardiogram
42
43 PASP: pulmonary artery systolic pressure
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45 AF: atrial fibrillation
46
47 PDE5: phosphodiesterase-5
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49 sGC: soluble guanylyl cyclase
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51 CPET: Cardiopulmonary Exercise Test
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Table 1. Pre-test probability of left heart disease (LHD) phenotype versus Pulmonary arterial hypertension or pre-capillary PH

Feature	High probability	Intermediate probability	Low probability
Age	>70 years	60–70 years	<60 years
Obesity, systemic hypertension, dyslipidemia, glucose intolerance/diabetes	>2 factors	1–2 factors	None
Previous cardiac intervention [#]	Yes	No	No
Atrial fibrillation	Current	Paroxysmal	No
Structural LHD	Present	No	No
ECG	LBBB or LVH	Mild LVH	Normal or signs of RV strain
Echocardiography	LA dilation; grade >2 mitral flow	No LA dilation; grade <2 mitral flow	No LA dilation; E/e' <13
CPET	Mildly elevated V'_E/V'_{CO_2} slope; EOVS	Elevated V'_E/V'_{CO_2} slope or EOVS	High V'_E/V'_{CO_2} slope; no EOVS
Cardiac MRI	LA strain or LA/RA >1		No left heart abnormalities

LBBB: left bundle branch block; LVH: left ventricular hypertrophy; RV: right ventricular; LA: left atrial; E/e' : early mitral inflow velocity/mitral annular early diastolic velocity ratio; CPET: cardiopulmonary exercise testing; V'_E : minute ventilation; V'_{CO_2} : carbon dioxide production; EOVS: exercise oscillatory ventilation; MRI: magnetic resonance imaging; RA: right atrial. #: coronary artery and/or valvular surgical and/or non-surgical procedures, including percutaneous interventions. Reproduced with permission of the © ERS 2021: European Respiratory Journal 53 (1) 1801897; DOI: 10.1183/13993003.01897-2018 Published 24 January 2019.⁴¹

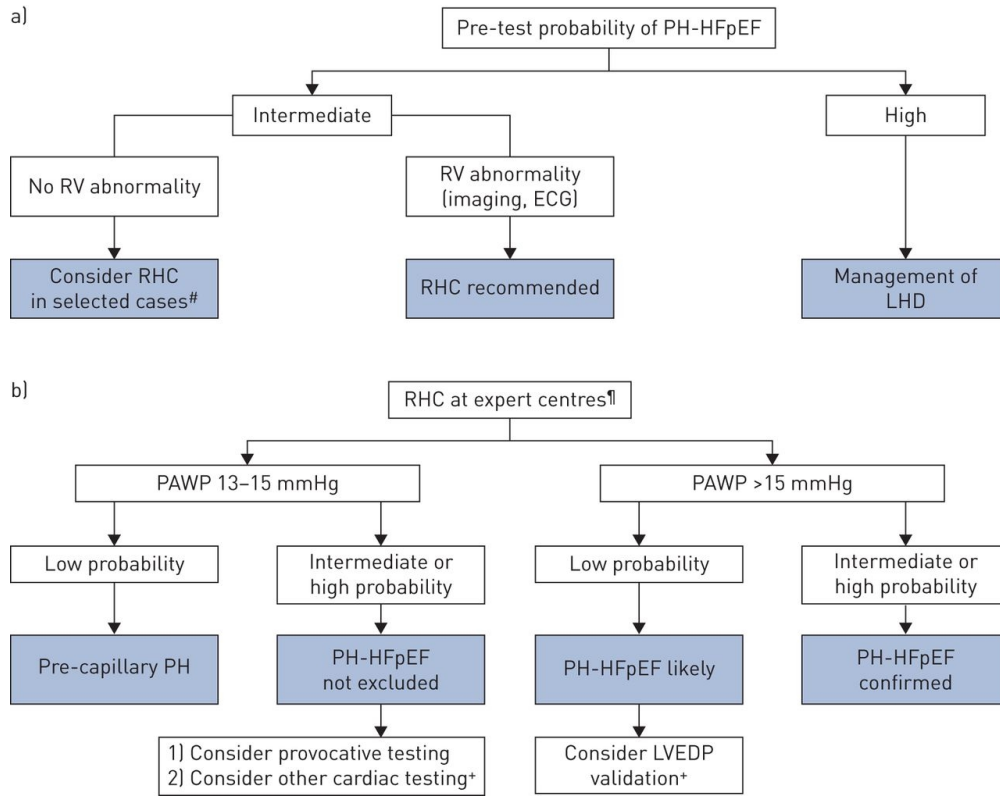
Table 2: Representative Clinical Trials of Pulmonary Hypertension in Heart Failure with Preserved Ejection fraction

Trial	Intervention	Sample Size & Duration	Inclusion Criteria	Study Design	Endpoints	Results
Guazzi M et al <i>Circulation</i> 2011	Sildenafil vs. placebo	N=44; 6 and 12 months	LVEF \geq 50%, sinus rhythm, PASP \geq 40mmHg	Prospective, double-blind, randomized, single-center	Hemodynamics and RV function on echo	Sildenafil: Reductions in mPAP, PVR, RAP, and PAWP with improvements in TAPSE and QOL.
Redfield et al <i>JAMA</i> 2013 (RELAX-HF)	Sildenafil vs. placebo	N = 216, 24 weeks	- pVO ₂ <60% normal - NT-proBNP >400 pg/mL or NT-proBNP <400 with mPCWP >20 mmHg	Prospective, randomized, multi-center, double-blind, placebo-controlled	Change in pVO ₂ after 24 weeks (<i>primary</i>)	Sildenafil: -0.20 mL/kg/min vs. baseline (IQR: -0.70 to 1.00) Placebo: -0.20 mL/kg/min vs. baseline (IQR: -1.70 to 1.11) (<i>p</i> =0.90) at 24 weeks
Abraham et al <i>Circ HF</i> 2014 (CHAMPION, HFpEF Analysis)	CardioMEMS (pulmonary artery pressure monitor) sensor-guided management vs. standard HF management in HFpEF	N = 119, mean follow-up of 17 months	- NYHA class III, HF hospitalization in previous 12 months	Prospective, randomized, multi-center, single-blind (post-hoc analysis)	Rate of HF hospitalizations after 6 months	Incidence rate ratio for heart failure hospitalization after 6 months 0.54 for LVEF >40% in treatment vs. control (<i>P</i> <0.0001)
Bonderman et al <i>CHEST</i> 2014 (DILATE-1)	Riociguat vs. placebo	N = 477, 6 hours	- LVEF >50%, mPAP \geq 25 mmHg, PAWP > 15 mmHg (at rest)	Prospective, randomized, multi-center, double-blind, placebo-controlled	Peak decrease in mPAP, change in stroke volume, RV end-diastolic area	Riociguat: peak decrease in mPAP 10 mmHg vs 11 mmHg with placebo (<i>p</i> =0.6); +9 mL in stroke volume over placebo (<i>P</i> =0.04)
Redfield et al <i>NEJM</i> 2015 (NEAT- HFpEF)	Isosorbide mononitrate vs. placebo (6-week dose-escalation regimen of isosorbide mononitrate with subsequent crossover to the other group for 6 weeks).	N=110; 12 weeks	LVEF \geq 50% and objective evidence of heart failure,	Prospective, multi-center, double-blind, crossover study	Daily activity level, quantified as the average daily accelerometer	Isosorbide mononitrate: less activity; no improvement in QOL or submaximal exercise capacity
Borlaug et al <i>JACC</i> 2015	Intravenous sodium nitrite vs. placebo compared via invasive hemodynamics and measured gas	N=28; 15 minutes after drug administration	LVEF \geq 50% and symptoms of HF, PAWP >15 mmHg at rest or \geq 25 mmHg with exercise	Prospective, single-center, double-blind, placebo-controlled, parallel-group	Exercise PAWP, mean PAP, mPAP/CO ratio, LVSW Sodium nitrite infusion acutely	Intravenous inorganic nitrite led to acute attenuation of PAWP rise with exercise, reduction in exercise-induced PH, and improved CO reserve.

	exchange 15 min. after administration						
1 2 3 4 5 6 7 8 9 10 11 12	Simon et al <i>JCI Insight</i> 2016	Aerosolized sodium nitrite	N=36; 15-60 minutes	WHO group I, II, and III PH. Exploratory for Group 2 PH (PH-HFpEF), change in PVR for Group 1 and Group 3 PH	Prospective, open-label, safety and efficacy	Baseline hemodynamics prior to and following inhaled NO as well as at 15, minute intervals following 45mg and then 90mg aerosolized sodium nitrite	Aerosolized sodium nitrite was well-tolerated, and in PH-HFpEF (n=10). Compared with other PH groups, PH-HFpEF subjects experienced greatest decrease in PCWP, RAP, RV, and PAP. Pulmonary artery compliance also improved in PH-HFpEF subjects.
13 14 15 16 17 18 19 20 21	Borlaug et al <i>Circ Res</i> 2016	Nebulized inhaled sodium nitrite 90mg vs placebo	N=26; hemodynamics at rest, then after 5 minutes of exercise, then 5 minutes after intervention	HFpEF (LVEF \geq 50%, PCWP at rest $>$ 15 mmHg or with exercise \geq 25 mmHg)	Prospective, randomized, single-center, double-blind, placebo-controlled, parallel-group	Primary end point: PCWP during exercise; Secondary end points included changes in PCWP and other hemodynamic measurements	Nebulized inhaled nitrite reduced biventricular pressures and PAPs at rest and during exercise
22 23 24 25 26 27 28 29 30 31 32 33 34	Vachiéry et al <i>Eur Respir J</i> 2017 (MELODY-1)	Macitentan vs. placebo	N = 63, median treatment duration of 12 vs 12.1 weeks in macitentan vs. placebo groups	- LVEF \geq vs. $<$ 50% (stratified by LVEF) and CpcPH confirmed by RHC with mPAP $>$ 25 mmHg, PAWP $>$ 15 mmHg, and $<$ 25 mmHg, and PVR at rest \geq 3 WU with DPG \geq 7 mmHg	Prospective, randomized, multi-center, double-blind, placebo-controlled	Composite primary endpoint of significant fluid retention or worsening in NYHA functional class from baseline up to end of trial, safety, PVR	Macitentan: associated with no change in PVR, mean RAP, or PAWP compared with placebo. Statistically non-significant but numerically greater number of adverse events and serious adverse events with macitentan vs. placebo.
35 36 37 38 39 40 41	Pieske et al <i>Eur Heart J</i> 2017 (SOCRATES-PRESERVED)	Vericiguat vs. placebo	N=477, 12 weeks	- LVEF $>$ 45%	Prospective, randomized, double-blind, placebo-controlled dose-finding study	Change from baseline NT-proBNP and left atrial volume at 12 weeks	Vericiguat: no significant change in NT-proBNP or LAV at 12 weeks vs. placebo, associated with improved QOL
42 43 44	Reddy et al <i>Circ Res</i> 2019	Inhaled Albuterol vs. placebo	N=30, single episode of invasive	LVEF \geq 50%, resting end-expiratory	Prospective, randomized, double-blind,	Exercise PVR, Resting PVR, rest and	Albuterol: reduction in exercise PVR -0.6 ± 0.5 vs. $+0.1 \pm 0.7$ WU ($p=0.003$).

(Albuterol in HFpEF)		hemodynamic exercise-testing	PCWP \geq 15 or exercise PCWP \geq 25 mmHg at 20 W workload	parallel-group, placebo-controlled trial	exercise PCWP, other measures of RV reserve	Albuterol also improved PA compliance, arterial elastance, RV-PA coupling, and cardiac output
Armstrong et al <i>JAMA 2020</i> (VITALITY-HFpEF)	Vericiguat, up-titrated to 15-mg (n = 264) or 10-mg (n = 263) daily oral dosages, compared with placebo (n = 262) and randomized 1:1:1.	N=789, 24 weeks	LVEF \geq 45%, NYHA class II-III, within 6 months of a recent decompensation, and elevated natriuretic peptides	Phase 2b randomized, double-blind, placebo-controlled, multicenter trial	Physical limitation score (PLS) of the Kansas City Cardiomyopathy Questionnaire (KCCQ).	Vericiguat: No improvement of PLS of KCCQ compared with placebo; no change in 6-minute walk distance
Udelson et al <i>JAMA 2020</i> (CAPACITY-HFpEF)	40 mg of praliguat daily or placebo	N=196, 12 weeks	LVEF \geq 40%, impaired peak VO_2 , and at least 2 conditions associated with NO deficiency	Randomized, double-blind, placebo-controlled, phase 2 trial.	Change from baseline in peak VO_2 in patients who completed at least 8 weeks of assigned dosing	Praliguat: No difference in peak VO_2 or 6-minute walk distance

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12 **Pulmonary Hypertension in the Context of Heart Failure with Preserved Ejection Fraction**

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14 Chakradhari Inampudi M.D.¹(Inampudi@musc.edu), Daniel Silverman M.D.¹
15 (Silvermd@musc.edu), Marc A. Simon M.D, M.S.² (Marc.Simon@ucsf.edu), Peter J. Leary M.D.,
16 Ph.D.³(Learyp@uw.edu), Kavita Sharma, M.D.⁴(Ksharma8@jhmi.edu), Brian A. Houston
17 M.D.¹(houstobr@musc.edu), Jean-Luc Vachiery M.D.⁵(Jeanluc.vachiery@erasme.ulb.ac.be),
18 Francois Haddad M.D.⁶(Fhaddad@stanford.edu), Ryan J Tedford, M.D.¹(TedfordR@musc.edu)

- 19
20
21
22
23
24 1. Division of Cardiology, Department of Medicine, Medical University of South Carolina,
25 Charleston, SC USA
26 2. Division of Cardiology, Department of Medicine, University of California San Francisco, San
27 Francisco, CA USA
28 3. Department of Medicine, University of Washington, Seattle, Washington USA
29 4. Division of Cardiology, Department of Medicine, Johns Hopkins School of Medicine,
30 Baltimore, MD USA
31 5. Département de Cardiologie Cliniques Universitaires de Bruxelles-Hôpital Erasme, Brussels,
32 Belgium.
33 6. Division of Cardiovascular Medicine and Stanford Cardiovascular Institute, Stanford
34 University School of Medicine, Stanford CA USA
35
36
37
38
39

40 First Author:

41
42 23 Chakradhari Inampudi M.D.
43 *Assistant Professor Medicine*
44 30 Courtenay Drive, MSC592
45 Charleston, SC 29425, USA
46 Email: Inampudi@musc.edu
47 Twitter Handle: @chakri0012

48
49
50
51 Correspondence to:

52
53 32 Ryan J. Tedford, MD, FACC, FAHA, FHFSA
54 *Dr. Peter C. Gazes Endowed Chair in Heart Failure*
55 *Professor of Medicine/Cardiology*

1
2
3 1 *Chief, Heart Failure*
4 2 *Medical Director, Cardiac Transplantation*
5 3 *Director, Advanced Heart Failure & Transplant Fellowship Training Program*
6 4 Medical University of South Carolina (MUSC)
7 5 30 Courtenay Drive
8 6 BM215, MSC592
9 7 Charleston, SC 29425
10 8 (843) 792-3355 *office*
11 9 (843) 876-4990 *fax*
12 10 *Email: TedfordR@musc.edu*
13 11 *Twitter Handle: @RyanTedfordMD*
14
15
16
17

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1 Abstract

2 Heart failure with preserved ejection fraction (HFpEF) is the most common form of heart
3 failure and is frequently associated with pulmonary hypertension (PH). PH-HFpEF may be
4 difficult to distinguish from pre-capillary forms of PH, though this distinction is crucial as
5 therapeutic pathways are divergent for the two conditions. A comprehensive and systematic
6 approach utilizing history, clinical exam, non-invasive and invasive evaluation with and without
7 provocative testing may be necessary for accurate diagnosis and phenotyping. Once diagnosed,
8 PH-HFpEF can be subdivided into isolated post-capillary pulmonary hypertension (IpcPH) and
9 combined post- and pre-capillary pulmonary hypertension (CpcPH) based on the presence or
10 absence of elevated pulmonary vascular resistance (PVR). CpcPH portends a worse prognosis
11 than IpcPH. Despite its association with reduced functional capacity and quality of life, heart
12 failure hospitalizations, and higher mortality, therapeutic options focused on pulmonary
13 hypertension for PH-HFpEF remain limited. In this review, we aim to provide an updated
14 overview on clinical definitions and hemodynamically characterized phenotypes of PH,
15 pathophysiology, therapeutic strategies, and ongoing challenges in this patient population.

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1 Introduction and Epidemiology

2 Heart failure with preserved ejection fraction (HFpEF) is the most common form of heart failure
3 and is frequently complicated by the development of pulmonary hypertension (PH). The prevalence of
4 PH in HFpEF varies widely based on population, study design, the definition of PH, and diagnostic
5 modalities, with ~~some~~ estimates ranging from 30-80%.¹ The hemodynamic and functional alterations
6 that occur in the setting of abnormal cardiovascular structure and function contribute to the
7 development of PH.² PH-HFpEF is associated with dyspnea, ventilatory impairments, reduction in
8 aerobic capacity, high symptom burden, an increase in hospitalizations, and higher mortality.³ The
9 present paper on PH-HFpEF reviews the definition and classification, pathophysiology, challenges with
10 diagnosis, and current and emerging treatment strategies.

12 Definition and Classification

14 ~~Since the initial description of PH in 1940, the novel mechanistic insights by Paul Wood from
15 1956-1958, and the first version of clinical classification in 1973, the diagnosis has gone through a series
16 of iterative changes. Based partially on expert opinion and to minimize the chances of overdiagnosis of
17 pulmonary arterial hypertension (PAH), a mean pulmonary artery pressure (mPAP) of ≥ 25 mmHg was
18 first used to define PH. At the proceedings of the fourth World Symposium of Pulmonary Hypertension
19 (WSPH), PH due to left heart disease (LHD) was divided into passive versus reactive or “out-of-
20 proportion” PH based on the transpulmonary gradient (TPG): calculated as mean pulmonary artery
21 pressure (mPAP) minus pulmonary arterial wedge pressure (PAWP). A TPG > 12 mmHg was
22 recommended as a marker indicative of pulmonary vascular remodeling and was commonly used to
23 distinguish passive from reactive PH; however, the specificity of this approach was later questioned as
24 the gradient may be influenced by changes in cardiac output (CO), recruitment and distension of~~

1 pulmonary vessels, and loading conditions. In 2013, the diastolic pressure gradient (DPG; diastolic
 2 pulmonary artery pressure minus PAWP) was proposed to distinguish isolated post-capillary PH (IpcPH)
 3 from combined post- and pre-capillary (CpcPH). The DPG may be less influenced by flow and loading
 4 conditions, and a DPG < 7 mmHg defined IpcPH whereas ≥ 7 mmHg defined CpcPH; however, due to
 5 concerns related to measurement fidelity and mixed reports on its prognostic utility, DPG also fell out of
 6 favor. This has been reflected in the last international guidelines on PH, in which CpcPH was defined as
 7 DPG > 7 mm Hg and/or PVR > 3 WU.

8 The hemodynamic definition of pulmonary hypertension -due to left heart disease (PH-LHD),
 9 also classified as World Health Organization (WHO) Group 2 PH, has been proposed during the 6th WSPH
 10 as a mPAP > 20 mm Hg and a PAWP > 15mmHg as measured by right heart catheterization (RHC).⁴ The
 11 lower threshold of mPAP is based on reference data^{ae} in healthier controls and was selected to
 12 harmonize with the new definition of PAH.⁵ As these definitions and concepts rely heavily on
 13 hemodynamic definition, proper measurements of cardiac output and pulmonary pressures become
 14 essential. While directly measured Fick, cardiac output remains the gold standard measurement, the use
 15 of a metabolic cart for indirect calorimetry is not widespread in clinical practice. More often, indirect or ‘
 16 assumed’ Fick is utilized, though it has been demonstrated that its Wolf et al. and others have
 17 demonstrated that use of the assumed Fick may lead to inaccurate measures of cardiac output and so
 18 the thermodilution technique is preferred even in the setting of tricuspid regurgitation and low cardiac
 19 output Among those with recognized PH-LHD, individuals are now stratified into IpcPH and CpcPH solely
 20 based on pulmonary vascular resistance (PVR), defined as TPG/CO, of < or ≥ 3 Wood units (WU). CpcPH,
 21 defined by PVR, is associated with reduced RV function and increased morbidity and mortality compared
 22 to IpcPH.⁶ A recent analysis of 40,082 patients undergoing RHC in the U.S Veterans Affairs health-care
 23 system found excess adjusted all-cause mortality began at a threshold of 2.2 WU, suggesting even lower
 24 PVR values may be clinically relevant.⁷ Finally, PAC, pulmonary arterial compliance (PAC), (estimated as

1 stroke volume/PA pulse pressure), and pulmonary arterial elastance (Ea), defined as systolic PA
2 pressure/stroke volume, are both impacted by left atrial hypertension and PVR. They may better
3 represent the total RV afterload compared to pre-capillary parameters. Although they are associated
4 with more significant RV dysfunction and are better predictors of outcomes in PH-HFpEF, they are not
5 helpful in distinguishing IpcPH and CpcPH.⁸

6 As these definitions and concepts rely heavily on hemodynamic definition, proper
7 measurements of both pressures and cardiac output become essential. While directly measured cardiac
8 output remains the gold standard measurement, the use of a metabolic cart for indirect calorimetry is
9 not widespread in clinical practice. More often, indirect or 'assumed' Fick is utilized, though it has been
10 demonstrated that its use may lead to inaccurate measures of cardiac output in the setting of heart
11 failure and PH.^{9,10} Therefore, thermodilution technique is preferred even in the setting of tricuspid
12 regurgitation and low cardiac output.¹¹

13 ~~Pulmonary arterial compliance (PAC) (estimated as stroke volume/PA pulse pressure), a global~~
14 ~~parameter of pulmonary artery distensibility, and pulmonary arterial elastance (Ea), defined as systolic~~
15 ~~PA pressure/stroke volume, may better represent the total RV afterload compared to pre-capillary~~
16 ~~parameters. Although they are associated with more significant RV dysfunction and are better~~
17 ~~predictors of outcomes in PH-HFpEF, they are not helpful in distinguishing IpcPH and CpcPH.~~

19 Pathophysiology

20 Pulmonary vasculature

21 In patients with HFpEF, abnormal myocardial active relaxation and increased passive stiffness of
22 the left ventricle leads to elevation in left ventricular and thus left atrial pressures to maintain cardiac

1 output.¹² Left atrial pathology itself may further contribute, exposing the lung vasculature to passive
 2 elevation in pressure.¹³ Ultimately, elevated pressure and/or poor cardiac output leads to the
 3 development of a pre-capillary component due to pulmonary vasoconstriction, which is [at least partially](#)
 4 functional, and in some cases, structural remodeling of the pulmonary veins, capillaries, and arteries
 5 [occur](#).^{14,15}

6 Several factors contribute to the functional pathology in PH-HFpEF. Left atrial hypertension
 7 causes stress failure of the alveolar-capillary junction with the development of pulmonary edema ~~and~~
 8 ~~impairment of gas exchange, contributing to dyspnea, hypoxemia, and vasoconstriction. The edema~~
 9 ~~activates inflammatory mediators that increases endothelin-1 expression, decrease in nitric oxide and~~
 10 ~~natriuretic peptide activity. This may also ,leading to fibroblast proliferation, occlusion of the lumen and~~
 11 ~~thickening of the alveolar septa. The remodeling is reflected by impairment of gas exchange,~~
 12 ~~contributing to dyspnea and hypoxemia.~~^{16,17} ~~Overproduction of endothelin-1, decreased nitric oxide~~
 13 ~~bioavailability, activation of the renin-angiotensin-aldosterone system and neurogenic activation lead to~~
 14 ~~endothelial dysfunction.~~ Obokata and colleagues, in a prospective hemodynamic study of 38 patients
 15 with HFpEF with 20 controls, found that the HFpEF subjects with PH displayed activation of endothelin
 16 and adrenomedullin neurohormonal pathways. The C-terminal pro-endothelin-1 and MR—pro ADM
 17 levels were strongly correlated with mean PA pressure ($r = 0.73$ and 0.65 , both $P < 0.0001$) and PAWP (r
 18 $= 0.67$ and 0.62 , both $P < 0.0001$) and inversely correlated with PAC ($r = -0.52$ and -0.43 , both $P <$
 19 0.001).¹⁸ [As mentioned above, left atrial hypertension also lowers PAC, making the vasculature stiff,](#)
 20 [increasing pulmonary pulse pressure, and indirectly increasing PVR. Furthermore, Paul Wood even](#)
 21 [suggested that](#) engorged lymphatics and edema [may can](#) compress small distal lung arterioles, [increasing](#)
 22 [PVR](#) [contributing to the pre-capillary component.](#)¹⁹ [Atrial fibrillation is closely associated with PH-HFpEF,](#)
 23 [whether as a cause for the left atrial remodeling that leads to left atrial hypertension, or as the effect.](#)
 24 [Finally, PAC, pulmonary arterial compliance \(PAC\), \(estimated as stroke volume/PA pulse pressure\),](#)

1 impacted directly by left atrial hypertension, and pulmonary arterial elastance (Ea), defined as systolic
2 PA pressure/stroke volume, may better represent the total RV afterload compared to pre-capillary
3 parameters. Although they are associated with more significant RV dysfunction and are better
4 predictors of outcomes in PH-HFpEF, they are not helpful in distinguishing which determines the blood
5 storage capacity of the pulmonary circulation, is also impacted directly by left atrial hypertension. In
6 healthy individuals, the principal determinant of compliance is PVR, and thus, a linear relationship exists
7 between pulmonary artery systolic, mean, and diastolic pressures. However, as pressure is transmitted
8 backward from the left heart, compliance declines for any given resistance. As compliance declines,
9 pulmonary pulse pressure and mPAP increase due to a rise in systolic pulmonary artery pressure relative
10 to diastolic pressure, further contributing to IpcPH and CpcPH, the pre-capillary component.

11 The above factors and others eventually promote remodeling in the pulmonary arteries and
12 veins with various combinations of intimal proliferation, medial hypertrophy, and adventitial thickening.
13 In a landmark study of patients with PH-HFpEF-LHD, Fayyaz, et al Padang R et al found evidence of
14 significant venous remodeling, with similar pathologic appearance to pulmonary veno-occlusive disease.
15 In keeping, it was venous and intermediate vessel changes that were -venous medial hypertrophy was
16 the most common pathologic finding and correlated more closely associated with with higher
17 pulmonary pressures than the arterial remodeling.^{20, 20} The Pulmonary artery systolic pressure more
18 correlated with venous or small indeterminate vessels percent intimal thickness. In 30 PH-HF who
19 underwent RHC, numerically stronger association noted between transpulmonary gradient (TPG) and
20 pulmonary vascular remodeling. Additionally, the venous and small vessel intimal thickening was noted
21 to be more severe than arterial intimal thickening in PH-HFpEF. In the spectrum of PH-HFpEF, a subset of
22 patients exhibits a unique phenotype that shares biological overlap with PAH. When evaluated at the
23 cellular level, the pathways involved in lung microvasculature remodeling have also been shown to
24 contribute to left atrial fibrosis. The new cell lines 'aerocyte' and 'general' capillary (gCap), as their roles

1 ~~emerge may transform our understanding of their role in PH-HFpEF.~~ Angiotensin II and transforming
2 growth factor-beta 1, the most potent stimulators of collagen synthesis have, been implicated in the
3 remodeling process.²¹ ~~In a swine model of isolated post-capillary pulmonary hypertension, pulmonary~~
4 ~~vein banding (n=7) compared to Sham (n=6) for 10 weeks resulted in upregulation of the endothelin~~
5 ~~pathway contributing to pre-capillary aspects in the initially isolated post-capillary pulmonary~~
6 ~~hypertension.~~

7 The development of functional vasoconstriction and remodeling are presumed to be pathologic,
8 though it remains possible that these mechanisms are instead compensatory; that is, to ~~reduce blood~~
9 ~~return to the diseased left heart and~~ “protect” the ~~optimize~~ left heart from excessive preload. In a
10 cohort free of cardiac disease, higher endothelin-1 levels at baseline were protective of future heart
11 failure events and were also associated with smaller LV size and higher LV ejection fraction.²² Animal
12 models of HFpEF and specifically PH-HFpEF have recently been developed, and may ultimately improve
13 our understanding of the development of pulmonary vascular disease as well as heart dysfunction.^{23,24}

16 *Right Ventricle*

17 The function of the right ventricle (RV) is likely the most important prognostic factor in PH-
18 HFpEF.^{25,26} RV diastolic dysfunction may occur early in the disease course. In a study of 24 compensated
19 HFpEF patients with preserved CO and mildly elevated pulmonary pressures and 9 patients without
20 heart failure symptoms who underwent RV pressure-volume measurement, increased RV stiffness and
21 prolonged RV relaxation was present, though RV systolic function was preserved.²⁷ Later in the disease,
22 overt RV systolic dysfunction can develop.²⁸ RV systolic function depends on both the afterload imposed
23 on it from the pulmonary circulation and intrinsic myocardial contractility. PVR and PAC both contribute

1 to increased resistive and pulsatile afterload.²⁹ Chronically elevated afterload results in RV hypertrophy
2 as a compensatory mechanism. With sustained afterload, chamber dilation, tricuspid regurgitation,
3 fibrosis, and loss of contractility, ultimately irreversible decrease in RV function ensues.²⁸ The processes
4 of adaptation giving way to maladaptation and gene expression-related pathways that drive this
5 transition are largely unknown. Co-morbidities like atrial fibrillation (AF) and obesity frequently co-exist
6 in HFpEF patients and may contribute to the inflammatory milieu, right ventricular fibrosis, and even
7 myocyte dysfunction. In a representative sample of 63 HFpEF patients with RV septal endomyocardial
8 biopsy, those with marked obesity exhibited more depressed RV systolic sarcomere function yet less
9 passive myocyte stiffening.³⁰ Thus, although not well characterized, it appears certain patient
10 phenotypes may have an “at-risk” RV less able to compensate for increases in afterload.

11 *RV-LV Interactions and Atrial Fibrillation*

12 In addition to the abnormalities in RV function noted above, ventricular interaction may also be
13 seen play a significant role in the pathophysiology and functional limitations witnessed in PH-HFpEF,
14 particularly in those with CpcPH. In particular, distinct phenotypes lpcPH vs. CpcPH may be elucidated
15 with exercise.³¹ LV transmural pressure, estimated as PAWP minus right atrial pressure (RAP),
16 represents the true distending pressure of the LV (i.e. preload). In HFpEF without PH and lpcPH, PAWP
17 typically increases out of proportion to RAP during exertion, increasing the LV transmural pressure.
18 However, CpcPH patients demonstrate greater increases in RAP during exercise, enhanced ventricular
19 interdependence, and a paradoxical reduction in LV transmural pressure. These changes, indicative of
20 pericardial restraint, are associated with both impaired cardiac reserve and more significant reductions
21 in aerobic capacity. While left heart congestion appeared to drive increases in LV transmural filling
22 pressures in patients with HFpEF without PH and in lpcPH, in CpcPH HFpEF, left heart underfilling from
23 increased RAP and increased RAP/PCWP ratio leads to reduced LV transmural filling pressure
24 characterizing RV-LV interactions. Furthermore, left heart underfilling appeared to correlate directly

1 ~~with the severity of pulmonary vascular disease present.~~³¹ Worsening pulmonary vascular disease, right
2 heart failure and pericardial restraint has also been described in a sub-phenotype of HFpEF with
3 permanent atrial fibrillation. Reduced left atrial compliance and impaired mechanics are associated with
4 increasing burden of atrial fibrillation. Atrial fibrillation and left atrial dysfunction appear to be strong
5 promoters of PH in HFpEF.³²

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2 **Diagnosis of PH-HFpEF**

3 The evaluation of a patient with suspected PH in HFpEF requires comprehensive clinical,
4 echocardiographic, and hemodynamic assessments. A high degree of suspicion is necessary for the
5 diagnosis of PH-HFpEF, which can easily be misdiagnosed as PAH.² Factors associated with PH-LHD
6 include the presence of AF, left atrial enlargement, age > 60 years, coronary artery disease, and BMI >
7 30 kg/m² (TABLE 1), and these factors help determine the pre-test probability of PH-LHD. -The physical
8 exam and chest X-ray provide signs of fluid retention or signs of RV failure. Transthoracic
9 echocardiography (TTE) serves as an excellent screening tool and is the first step in the evaluation of
10 patients suspected of PH. ~~TTE has been shown to have moderate precision for identifying PH and~~
11 ~~determining severity.~~ While elevated PA systolic pressures (PASP) values derived from Doppler
12 echocardiography correlate with the presence of elevated pulmonary pressures, the accuracy of PASP
13 estimates are variable between studies.³³ Better accuracy can be observed when using modal frequency
14 for velocity estimates, optimizing insonation angle and resisting the temptation of estimating pressures
15 in the presence of an incomplete signal.³⁴ Pulmonary function testing ~~can identify associated lung~~
16 ~~disease and aid in PH classification. Cardiopulmonary exercise testing (CPET) may be diagnostically~~
17 ~~useful as identify WHO Group 3 PH, higher Ve/VCO₂ slope cardiopulmonary exercise testing is~~
18 ~~associated with more significant pre-capillary disease whereas the presence of exercise oscillatory~~
19 ~~ventilation (EOV) is more common in isolated heart failure.~~³⁵ ~~can provide data on VE/VCo₂ slope that~~
20 ~~has been correlate with outcome in CPcPH, and cardiac magnetic resonance imaging can also aid in~~
21 ~~diagnosis in selected patients.~~ Individuals with CpcPH should be ruled out for chronic thromboembolic
22 disease and other conditions associated with pre-capillary disease as guided by history, physical exam
23 and diagnostic evaluation.

1 ~~group 2 PH at rest in this situation.~~ A PAWP >18 mm Hg immediately following the administration of
2 500 cc of normal saline over 5 minutes ~~(or in some cases using a weight-based dosing of (7 cc/kg)~~ is
3 considered abnormal and suggestive of PH-LHD.^{37,4243} At expert centers, ~~upright or supine~~ cycle
4 ergometry may be performed as an alternative, ~~with a cutoff of PAWP \geq 25 mm-Hg during supine~~
5 ~~exercise (or \geq 20 mmHg during upright exercise)~~ generally accepted as representing the presence of left
6 ~~heart disease. It should be noted, however, that pressures taken during exercise may vary significantly~~
7 ~~based on whether measurement is performed at end-expiration, or during the course of the respiratory~~
8 ~~cycle as advised in the 2015 ESC/ERS guidelines~~ depending on how the PAWP is measured in respect to
9 ~~the respiratory cycle.~~³⁶ The position statement from ~~the 2015 ESC/ERS~~ recommends averaging over the
10 ~~respiratory cycle during exercise.~~⁴⁴ ~~Although various cutoffs have been proposed for an abnormal~~
11 ~~PAWP during exercise,~~ the multi-point slope of PAWP and cardiac output may ~~prove to be a more~~
12 ~~reliable measure to discriminate between occult LHD and normal left-sided response to exercise, with >~~
13 ~~2 mm Hg/L/min being considered abnormal.~~⁴⁵ ~~the best discriminator of occult LHD, with > 2 mm~~
14 ~~Hg/L/min being considered abnormal.~~ It may also be less prone to issues with respiratory swings if all
15 ~~pressures are measured in a consistent manner.~~⁴⁶

17 Therapeutic strategies

18 The significant gaps in understanding the complex pathobiology processes and comorbidities
19 that complicate or drive the development and progression of PH has rendered the search for effective
20 therapies particularly difficult. Furthermore, PH may represent a marker of disease severity rather than
21 an optimal target for therapy. Select clinical trials with biologic plausibility to treat PH-HFpEF are
22 summarized in TABLE 2. Currently, there are no pharmacologic agents that are approved to specifically
23 target PH in the setting of HFpEF, a result of disappointing results from these numerous studies. Loop

1
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3 1 diuretics for relief of volume overload and concomitant treatment of co-morbidities are the mainstays of
4
5 2 therapy in HFpEF, and therefore, PH-HFpEF. In addition to normalization of intracardiac and pulmonary
6
7 3 pressures and symptom relief, diuresis associated increases in PAC reduce pulsatile loading to the RV.
8
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10 4 The use of pulmonary artery monitoring devices may be particularly useful to achieve and maintain
11
12 5 euvoemia. The CHAMPION trial was a prospective randomized control trial that enrolled 550 patients
13
14 6 with heart failure with both reduced and preserved ejection fraction. Subjects randomized to
15
16 7 hemodynamic guided care had substantially more medication titrations (principally diuretics) and
17
18 8 experienced a significant reduction in heart failure hospitalizations compared to controls. For one of the
19
20 9 first times, subjects with HFpEF and HFrEF showed similar benefit.⁴⁷ Post-marketing surveillance study of
21
22 10 more than 2000 patients (34% PH-HFpEF) yielded similar results.⁴⁸
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26 11 The role of mineralocorticoid receptor antagonist spironolactone in HFpEF was studied in the
27
28 12 TOPCAT trial.⁴⁹ Although the trial failed to meet its primary endpoint, perhaps due to enrollment
29
30 13 irregularities in Russia and Georgia, a reduction in HF hospitalizations were noted.⁵⁰ Animal and
31
32 14 preliminary human data in PAH suggest that aldosterone antagonists may reduce pulmonary
33
34 15 vasoconstriction by attenuating the adverse effects of hyperaldosteronism on endothelin type-B
35
36 16 receptor function in pulmonary endothelial cells.⁵¹ Whether this may add particular benefit in PH-HFpEF
37
38 17 is unknown. In addition, a large cohort of veterans with pulmonary hypertension suggested a mortality
39
40 18 benefit with ace inhibitors and angiotensin-receptor blockade. This included veterans with left heart
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42 19 disease; however, HFpEF was not specifically differentiated in this cohort.⁵²
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47 20 In addition to the optimization of filling pressures, management of the underlying comorbidities
48
49 21 like AF, coronary artery disease, systemic hypertension, ~~and~~ metabolic syndrome, and diabetes mellitus
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51 22 is necessary. These factors are prognostically relevant and may be associated with unique and
52
53 23 targetable phenotypes.^{53,54} The presence of underlying valvular heart disease should be investigated and
54
55 24 considered as potential therapeutic targets. AF is highly comorbid with HFpEF, with the combination
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1 often exacerbating the likelihood of hospitalization. Rhythm control strategies including catheter
2 ablation may offer benefit over rate control, though data from a prospective, randomized control trial is
3 needed.⁵⁵ With the increasing prevalence of an obesity/metabolic HFpEF phenotype, weight loss
4 management, and aerobic exercise are critical interventions and have both been shown to improve
5 exercise tolerance and reduce body weight in HFpEF.⁵⁶

6 7 *PAH targeted therapies*

8 Studies of pulmonary vasodilators in PH-HFpEF have largely been disappointing. Although
9 phosphodiesterase-5 (PDE5) inhibitors have proven efficacious in PAH, their role in PH-HFpEF remains
10 unproven. In a prospective placebo-controlled single-center trial of 44 patients with PH-HFpEF defined
11 by elevated pulmonary artery systolic pressure of (PASP) > 40 mm Hg and severe right ventricular
12 dysfunction, sildenafil use compared to placebo showed improvement in pulmonary pressures, RV
13 function and RV dimensions at 6 months.⁵⁷ Though it was not enriched for CpcPH, the RELAX study of
14 sildenafil use in subjects with HFpEF did not improve mean pulmonary artery pressure, PAWP, CO,
15 exercise tolerance or VO₂.^{58,59} In a post hoc analysis of the trial, sildenafil ~~also~~ failed to reduce RV
16 afterload.⁶⁰ Similar results were obtained in other studies of ~~sildenafil in~~ predominately lpcPH.⁵⁸ In a
17 randomized double-blind placebo-controlled trial of 222 patients (108 ~~patients with~~ CpcPH and 80 lpcPH
18 ~~patients isolated post-capillary~~) with pulmonary hypertension and PH-HFpEF related to successfully
19 corrected (at least 1 year before enrollment) valvular heart disease, sildenafil treatment was associated
20 with worse clinical outcomes compared to placebo.⁶¹ The PASSION trial evaluating the effects of tadalafil
21 on HFpEF and CpcPH is currently underway.

22 While PDE5 inhibitors have failed to show benefit in undifferentiated PH-HFpEF and remain under
23 investigation in CpcPH, another class of medications affecting the same downstream signaling pathways

1 has also been evaluated. Whereas PDE5 inhibitors prevent the breakdown of cGMP – a vasodilatory and
2 antiproliferative molecular signal – the soluble guanylyl cyclase stimulators (sGC stimulators) function
3 to directly increase sGC levels in the vasculature and other tissues. DILATE-1 trial evaluated the role of
4 the sGC stimulator riociguat compared to placebo in 39 patients with PH-HFpEF and notably included 5
5 patients with CpcPH. No significant change in mPAP or PVR at 6 hours was noted in this proof-of-
6 concept study. Subsequent, large prospective randomized trials of sGC-stimulators have shown no
7 benefit in enrolled, undifferentiated HFpEF subjects.⁶²⁻⁶⁴ Finally, several [investigations targeting the](#)
8 [antagonism of endothelin pathway have been reported](#) [type A receptors has been undertaken, with](#)
9 [consideration for the potential to reduce pulmonary vasoconstriction. A study of the selective](#)
10 [endothelin type A \(ET_A\) receptor sitaxsentan in 192 HFpEF subjects with HFpEF did not meet statistical](#)
11 [significance for end points including New York Heart Association \(NYHA\) functional class, heart failureHF](#)
12 [hospitalizations-stays, measures of diastolic function, or quality of life questionnaire scores. However,](#)
13 [there was a significant increase in exercise tolerance \(median treadmill time\).](#)⁶⁵ [Subsequent study of](#)
14 [endothelin receptor antagonism in t](#) [The](#) MELODY-1 evaluated the acute role of the endothelin receptor
15 antagonist macitentan in patients with CpcPH identified by diastolic pressure gradient ≥ 7 [mmHg](#) and
16 PVR ≥ 3 WU. The trial was powered to evaluate safety endpoints. At 12 weeks, more adverse events
17 were noted with [m](#)Macitentan compared to placebo with no improvement in PVR or mean right atrial
18 pressure.⁶⁶ [Unfortunately, t](#) [the SERENADE trial, which specifically enrolled patients with HFpEF and](#)
19 [pulmonary vascular disease or RV dysfunction, also aimed to test macitentan, but was stopped](#)
20 [prematurely \(NCT03153111\).](#) – **At present, PAH-specific therapies should be avoided in PH-HFpEF.**³⁷ This
21 recognition is relevant given relatively recent real-world evidence suggesting that PAH specific therapies
22 may be prescribed nearly as frequently for PH-LHD as PAH and [argues that these therapies](#) are used
23 more frequently than might be assumed for PH-LHD in the rare setting of complex and multifactorial
24 etiologies.⁶⁷

1 Nitrite, which is reduced to nitric oxide by hemoglobin, is currently being studied in an oral
2 formulation for PH-HFpEF (NCT03015402), having shown promise in an inhaled formulation in PH-HFpEF
3 predominately through improvement in PAC. However, a broader study in HFpEF (uncontrolled for PH)
4 did not show improvement in exercise capacity as assessed by CPET and 6-minute walk distance.⁷³
5 Early-phase studies of oral milrinone have also been conducted suggesting improvement in exercise
6 hemodynamics and improved quality of life measures.⁷⁴ Finally, PA denervation therapy in CpcPH was
7 studied in the PADN-5 study, which included approximately 40% of subjects with HFpEF. Compared
8 with sildenafil alone, PA denervation resulted in a significant increase in 6-min walk and lower PVR.⁷⁵
9 Additional studies of this potentially promising therapy, such as TROPHY-II (NCT03611270) are
10 underway.

12 **Conclusion:**

13 In HFpEF, the development of pulmonary hypertension is recognized as an important
14 contributor to morbidity and mortality. Differentiation from PAH can be subtle and requires careful
15 attention during diagnostic evaluation. Recent studies have paved the way for a better understanding
16 of the pathobiology and relevant diagnostic and prognostic methodologies. Those therapeutic
17 interventions aimed at targeting elevated pulmonary pressures have largely been disappointing but
18 novel therapeutic strategies are currently being studied.

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3 **1 Figure Legend**
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6 2 Figure. Hemodynamic assessment of pulmonary hypertension (PH) due to heart failure with preserved
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8 3 ejection fraction (HFpEF). RV: right ventricular; RHC: right heart catheterization; LHD: left heart disease;
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10 4 PAWP: pulmonary arterial wedge pressure; LVEDP: left ventricular end-diastolic pressure; CTEPH:
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12 5 chronic thromboembolic PH. a) Pre-test probability of PH-LHD is based on the features presented in
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14 6 table 1. RHC is recommended in intermediate probability when risk factors of pulmonary arterial
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16 7 hypertension/CTEPH are present and/or if there is evidence of right ventricle abnormality. If the
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18 8 probability is high, patients should be managed according to recommendations for LHD. b) For the
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20 9 assessment of PH, RHC should be performed at expert centres/centers. In patients with
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22 10 intermediate/high probability (table 1) and PAWP between 13 and 15 mmHg, PH-HFpEF is not excluded;
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24 11 provocative testing (tables 2 and 3) should be considered. #: for patients with systemic sclerosis, risk
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26 12 factors for CTEPH and/or unexplained dyspnea; †: after [2]; ‡: if PAWP >15 mmHg, LVEDP validation
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28 13 should be considered. Reproduced with permission of the © ERS 2021: European Respiratory
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30 14 Journal 53 (1) 1801897; DOI: 10.1183/13993003.01897-2018 Published 24 January 2019.⁴¹
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Table 1. Pre-test probability of left heart disease (LHD) phenotype versus Pulmonary arterial hypertension or pre-capillary PH

Feature	High probability	Intermediate probability	Low probability
Age	>70 years	60–70 years	<60 years
Obesity, systemic hypertension, dyslipidemia, glucose intolerance/diabetes	>2 factors	1–2 factors	None
Previous cardiac intervention [#]	Yes	No	No
Atrial fibrillation	Current	Paroxysmal	No
Structural LHD	Present	No	No
ECG	LBBB or LVH	Mild LVH	Normal or signs of RV strain
Echocardiography	LA dilation; grade >2 mitral flow	No LA dilation; grade <2 mitral flow	No LA dilation; E/e' <13
CPET	Mildly elevated V'_E/V'_{CO_2} slope; EOVS	Elevated V'_E/V'_{CO_2} slope or EOVS	High V'_E/V'_{CO_2} slope; no EOVS
Cardiac MRI	LA strain or LA/RA >1		No left heart abnormalities

LBBB: left bundle branch block; LVH: left ventricular hypertrophy; RV: right ventricular; LA: left atrial; E/e' : early mitral inflow velocity/mitral annular early diastolic velocity ratio; CPET: cardiopulmonary exercise testing; V'_E : minute ventilation; V'_{CO_2} : carbon dioxide production; EOVS: exercise oscillatory ventilation; MRI: magnetic resonance imaging; RA: right atrial. #: coronary artery and/or valvular surgical and/or non-surgical procedures, including percutaneous interventions. Reproduced with permission of the © ERS 2021: European Respiratory Journal 53 (1) 1801897; DOI: 10.1183/13993003.01897-2018 Published 24 January 2019.⁴¹

Table 2: Representative Clinical Trials of Pulmonary Hypertension in Heart Failure with Preserved Ejection fraction

Trial	Intervention	Sample Size & Duration	Inclusion Criteria	Study Design	Endpoints	Results
Guazzi M et al <i>Circulation</i> 2011	Sildenafil vs. placebo	N=44; 6 and 12 months	LVEF \geq 50%, sinus rhythm, PASP \geq 40mmHg	Prospective, double-blind, randomized, single-center	Hemodynamics and RV function on echo	Sildenafil: Reductions in mPAP, PVR, RAP, and PAWP with improvements in TAPSE and QOL.
Redfield et al <i>JAMA</i> 2013 (RELAX-HF)	Sildenafil vs. placebo	N = 216, 24 weeks	- pVO ₂ <60% normal - NT-proBNP >400 pg/mL or NT-proBNP <400 with mPCWP >20 mmHg	Prospective, randomized, multi-center, double-blind, placebo-controlled	Change in pVO ₂ after 24 weeks (primary)	Sildenafil: -0.20 mL/kg/min vs. baseline (IQR: -0.70 to 1.00) Placebo: -0.20 mL/kg/min vs. baseline (IQR: -1.70 to 1.11) ($p=0.90$) at 24 weeks
Abraham et al <i>Circ HF</i> 2014 (CHAMPION, HFpEF Analysis)	CardioMEMS (pulmonary artery pressure monitor) sensor-guided management vs. standard HF management in HFpEF	N = 119, mean follow-up of 17 months	- NYHA class III, HF hospitalization in previous 12 months	Prospective, randomized, multi-center, single-blind (post-hoc analysis)	Rate of HF hospitalizations after 6 months	Incidence rate ratio for heart failure hospitalization after 6 months 0.54 for LVEF >40% in treatment vs. control ($P<0.0001$)
Bonderman et al <i>CHEST</i> 2014 (DILATE-1)	Riociguat vs. placebo	N = 477, 6 hours	- LVEF >50%, mPAP \geq 25 mmHg, PAWP >15 mmHg (at rest)	Prospective, randomized, multi-center, double-blind, placebo-controlled	Peak decrease in mPAP, change in stroke volume, RV end-diastolic area	Riociguat: peak decrease in mPAP 10 mmHg vs 11 mmHg with placebo ($p=0.6$); +9 mL in stroke volume over placebo ($P=0.04$)
Redfield et al <i>NEJM</i> 2015 (NEAT- HFpEF)	Isosorbide mononitrate vs. placebo (6-week dose-escalation regimen of isosorbide mononitrate with subsequent crossover to the other group for 6 weeks).	N=110; 12 weeks	LVEF \geq 50% and objective evidence of heart failure,	Prospective, multi-center, double-blind, crossover study	Daily activity level, quantified as the average daily accelerometer	Isosorbide mononitrate: less activity; no improvement in QOL or submaximal exercise capacity
Borlaug et al JACC 2015	Intravenous sodium nitrite vs. placebo compared via invasive hemodynamics and measured gas	N=28; 15 minutes after drug administration	LVEF \geq50% and symptoms of HF, PAWP >15 mmHg at rest or \geq25 mmHg with exercise	Prospective, single-center, double-blind, placebo-controlled, parallel-group	Exercise PAWP, mean PAP, mPAP/CO ratio, LVSW Sodium nitrite infusion acutely	Intravenous inorganic nitrite led to acute attenuation of PAWP rise with exercise, reduction in exercise-induced PH, and improved CO reserve.

	exchange 15 min. after administration					
Simon et al JCI Insight 2016	Aerosolized sodium nitrite	N=36; 15-60 minutes	WHO group I, II, and III PH. Exploratory for Group 2 PH (PH-HFpEF), change in PVR for Group 1 and Group 3 PH	Prospective, open-label, safety and efficacy	Baseline hemodynamics prior to and following inhaled NO as well as at 15, minute intervals following 45mg and then 90mg aerosolized sodium nitrite	Aerosolized sodium nitrite was well-tolerated, and in PH-HFpEF (n=10). Compared with other PH groups, PH-HFpEF subjects experienced greatest decrease in PCWP, RAP, RV, and PAP. Pulmonary artery compliance also improved in PH-HFpEF subjects.
Borlaug et al Circ Res 2016	Nebulized inhaled sodium nitrite 90mg vs placebo	N=26; hemodynamics at rest, then after 5 minutes of exercise, then 5 minutes after intervention	HFpEF (LVEF \geq50%, PCWP at rest >15 mmHg or with exercise \geq25 mmHg)	Prospective, randomized, single-center, double-blind, placebo-controlled, parallel-group	Primary end point: PCWP during exercise; Secondary end points included changes in PCWP and other hemodynamic measurements	Nebulized inhaled nitrite reduced biventricular pressures and PAPs at rest and during exercise
Vachiéry et al Eur Respir J 2017 (MELODY-1)	Macitentan vs. placebo	N = 63, median treatment duration of 12 vs 12.1 weeks in macitentan vs. placebo groups	- LVEF \geq vs. < 50% (stratified by LVEF) and CpcPH confirmed by RHC with mPAP >25 mmHg, PAWP >15 mmHg, and < 25 mmHg, and PVR at rest \geq 3 WU with DPG \geq 7 mmHg	Prospective, randomized, multi-center, double-blind, placebo-controlled	Composite primary endpoint of significant fluid retention or worsening in NYHA functional class from baseline up to end of trial, safety, PVR	Macitentan: associated with no change in PVR, mean RAP, or PAWP compared with placebo. Statistically non-significant but numerically greater number of adverse events and serious adverse events with macitentan vs. placebo.
Pieske et al Eur Heart J 2017 (SOCRATES-PRESERVED)	Vericiguat vs. placebo	N=477, 12 weeks	- LVEF > 45%	Prospective, randomized, double-blind, placebo-controlled dose-finding study	Change from baseline NT-proBNP and left atrial volume at 12 weeks	Vericiguat: no significant change in NT-proBNP or LAV at 12 weeks vs. placebo, associated with improved QOL
Reddy et al Circ Res 2019	Inhaled Albuterol vs. placebo	N=30, single episode of invasive	LVEF \geq 50%, resting end-expiratory	Prospective, randomized, double-blind,	Exercise PVR, Resting PVR, rest and	Albuterol: reduction in exercise PVR -0.6 \pm 0.5 vs. +0.1 \pm 0.7 WU ($p=0.003$).

(Albuterol in HFpEF)		hemodynamic exercise-testing	PCWP \geq 15 or exercise PCWP \geq 25 mmHg at 20 W workload	parallel-group, placebo-controlled trial	exercise PCWP, other measures of RV reserve	Albuterol also improved PA compliance, arterial elastance, RV-PA coupling, and cardiac output
Armstrong et al <i>JAMA 2020</i> (VITALITY-HFpEF)	Vericiguat, up-titrated to 15-mg (n = 264) or 10-mg (n = 263) daily oral dosages, compared with placebo (n = 262) and randomized 1:1:1.	N=789, 24 weeks	LVEF \geq 45%, NYHA class II-III, within 6 months of a recent decompensation, and elevated natriuretic peptides	Phase 2b randomized, double-blind, placebo-controlled, multicenter trial	Physical limitation score (PLS) of the Kansas City Cardiomyopathy Questionnaire (KCCQ).	Vericiguat: No improvement of PLS of KCCQ compared with placebo; no change in 6-minute walk distance
Udelson et al <i>JAMA 2020</i> (CAPACITY-HFpEF)	40 mg of praliguat daily or placebo	N=196, 12 weeks	LVEF \geq 40%, impaired peak $\dot{V}O_2$, and at least 2 conditions associated with NO deficiency	Randomized, double-blind, placebo-controlled, phase 2 trial.	Change from baseline in peak $\dot{V}O_2$ in patients who completed at least 8 weeks of assigned dosing	Praliguat: No difference in peak $\dot{V}O_2$ or 6-minute walk distance

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