

1 **MODELING SOIL CO₂ PRODUCTION AND TRANSPORT TO INVESTIGATE THE**
2 **INTRA-DAY VARIABILITY OF SURFACE EFFLUX AND SOIL CO₂ CONCENTRATION**
3 **MEASUREMENTS IN A SCOTS PINE FOREST (PINUS SYLVESTRIS, L.)**

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34 **Abstract**

35 ***Aimed:***

36 The main aim of this study is to improve the mechanistic understanding of soil CO₂ efflux
37 (F_s), especially its temporal variation at short-time scales, by investigating, through modeling,
38 which underlying process among CO₂ production and its transport up to the atmosphere is
39 responsible for observed intra-day variation of F_s and soil CO₂ concentration [CO₂].

40 ***Methods:***

41 In this study, a measurement campaign of F_s and vertical soil [CO₂] profiles was conducted in
42 a Scots Pine Forest soil in Hartheim (Germany) and used to develop a model testing several
43 hypotheses. A reference model taking into account a purely diffusive CO₂ transport and a
44 temperature-dependent CO₂ production is compared to models with a more complex
45 description of either CO₂ production or CO₂ transport. For transport, the introduction of
46 advection and the dispersion is investigated. For the production, the emergent hypothesis of
47 the phloem pressure concentration wave (PPCW) influence is tested.

48 ***Results:***

49 We show that intra-day variation of F_s and [CO₂] is better represented when the more
50 complex CO₂ production expression is taken into account compared to the more detailed
51 description of CO₂ transport.

52 ***Conclusion:***

53 We conclude that focus should be placed on the potential factors affecting the CO₂
54 production, rather than on the transport process description

55 **Keywords:** Soil CO₂ efflux, Production, Transport, Process-based Model, Intra-day
56 Variability
57

58 **Introduction**

59 Soil CO₂ efflux (F_s) is the largest source of CO₂ emissions from terrestrial ecosystems (Ryan
60 and Law, 2005). In 2008, the global F_s was estimated at $98 \pm 12 \text{PgCyr}^{-1}$ (Bond-Lamberty and
61 Thomson, 2010) which is about 15 times greater than fossil fuel emissions (Denman et al.,
62 2007). In the context of climate change, it is crucial to understand the mechanisms driving F_s
63 to predict future atmospheric CO₂ concentrations. Even though F_s studies have received
64 attention in recent years, its future response to climate change is far from being clear because
65 of the complexity of controlling mechanisms that interact over several temporal (hours to
66 millennia) and spatial scales (Vargas et al., 2011).

67 F_s is the result of two main processes: the production of CO₂ (P) within the soil and its
68 transport from the production location up to the atmosphere (Fang and Moncrieff, 1999).
69 Therefore, F_s involves both biological and physical mechanisms. The level of complexity to
70 describe F_s depends on both the spatial and temporal scales of interest (Vargas et al., 2011).
71 For example, at large temporal time scales, transport processes are negligible so it can be
72 assumed that F_s represents CO₂ production (Luo and Zhou, 2006). On the contrary, at short
73 time scale, transport processes can become more significant and can be responsible for a
74 discrepancy between F_s and CO₂ production (Fassbinder et al., 2012; Risk et al., 2012;
75 Gamnitzer et al., 2011; Philips et al., 2010).

76 Recently, the availability of automated F_s measurement systems has highlighted the F_s intra-
77 day variations and facilitates the identification of their driving factors. There is now evidence
78 that F_s presents clear intra-day cycles that can be large and change abruptly from day to day
79 (Marron et al., 2009; Riveros-Iregui et al., 2008; Doff Sotta et al., 2004; Davidson et al.,
80 2000). The processes responsible for those intra-day variations are still debated. Do those
81 variations come from CO₂ production or CO₂ transport variation within the day?

82 There are two kinds of automatic systems measuring F_s : surface and subsurface approaches
83 (Pumpanen et al., 2010; Savage and Davidson, 2003). Each approach has its own advantages
84 and disadvantages that are largely mentioned in Goffin et al. (2014). The surface approach
85 uses automatic chamber systems to measure F_s at the soil surface. Such measurements
86 integrate all biophysical processes that contribute to F_s without distinguishing CO_2 transport
87 and production. Even if automatic chamber systems offer the possibility to probe a wide
88 spatial coverage (Risk et al., 2008) when multiplying the measurement points, they give no
89 information about the vertical distribution of CO_2 sources (Goffin et al., 2014; Davidson et
90 al., 2006b; Jassal et al., 2004; Hirano et al., 2003; Tang et al., 2003). The subsurface approach
91 consists of the measurement of soil CO_2 concentration vertical profile using gas wells (Hirsch
92 et al., 2004; Risk et al., 2002) or solid state CO_2 sensors (Goffin et al., 2014, Riveros-Iregui et
93 al., 2008; Hirano et al., 2003; Tang et al., 2003). This method allows determining the location
94 of CO_2 production within the soil profile, distinguishing CO_2 production from its transport,
95 but it requires good estimates of multiple soil physical factors (Maier et al., 2014; Vargas et
96 al., 2011; Turcu et al., 2005). Despite limitations of both methods, when used in combination,
97 they can provide modelers the opportunity to answer some questions related to F_s underlying
98 processes.

99 Today, the scientific community agrees on the necessity of understanding soil respiration
100 (CO_2 production) in a more mechanistic way, i.e. moving towards process-based model
101 (Vargas et al., 2011; Kuzyakov and Gavrichkova 2010; Bahn et al., 2008; Davidson et al.,
102 2006b; Jassal et al., 2004) to be able to reproduce observations like intra-day F_s variations
103 and increase the reliability of F_s prediction under climatic change. The more basic models are
104 driven primarily by temperature and soil water content relationships (Janssens et al., 2003;
105 Davidson et al., 2002). A widespread temperature model is the Q_{10} law but Davidson et al.
106 (2006a) highlighted its limits, by pointing out that the spatial and temporal Q_{10} variability

107 indicates that unidentified factors influencing soil respiration covary with temperature. The
108 Q_{10} decrease in trenched plots (Epron et al., 1999; Boone et al., 1998) and during autumn
109 (Davidson et al., 2006a) suggests that substrate supply to roots may constitute a major
110 controlling factor. Recently, evidences of newly photosynthetic assimilates impacting soil
111 respiration within a very short time scale was reported in tree girdling, shading or labeling
112 experiments (Kuzyakov and Gavrichkova, 2010; Mencuccini and Hölttä, 2010; Bahn et al.,
113 2009; Ekblad and Högberg, 2001; Bahn et al., 2008; Wan and Luo, 2003; Högberg et al.,
114 2006).

115 Regularly, the intra-day cycles of F_s are also decoupled from any measured temperature (air,
116 soil at multiple depths) (Vargas et al., 2011; Bahn et al., 2008; Tang et al., 2005; Doff Sotta et
117 al., 2004) or correlated to a shallow soil temperature while the production area extends over
118 several horizons (Goffin et al., 2014; Vargas et al., 2011). For example, in a temperate Scots
119 Pine Forest in Germany, the intra-day fluctuations of CO_2 production (P) in Ah horizon (0-20
120 cm) was strongly correlated with those of the temperature measured at -3 cm when this last
121 influences only the thin shallow part of the Ah enzymatic and root activity (Goffin et al.,
122 2014).. These inconsistencies should probably be attributed to the influence of assimilated
123 carbon availability on P or complex soil CO_2 transport processes comparing to diffusion.
124 Therefore, the intra-day cycle of the aerial climatic variables influencing these availability and
125 processes, constitutes a trail of research increasingly suggested in the literature to explain the
126 intra-day F_s cycles. Among the aerial variables, it is necessary to distinguish those impacting
127 CO_2 transport processes from those impacting CO_2 production.

128 The aerial variables that could impact CO_2 production are especially photon photosynthetic
129 flux density (PPFD) and vapour pressure deficit (VPD). They are mainly those related to
130 photosynthesis which impacts the substrate supply by roots. On short timescales (from hours
131 to weeks), photosynthesis can act through two different mechanisms on the substrate supply

132 in the rhizosphere: (i) the direct transport of assimilates from leaves to the rhizosphere
133 (through the phloem) (Wingate et al., 2010; Plain et al., 2009) and (ii) the indirect
134 physicochemical effect on root activity through the phloem pressure-concentration waves
135 (PPCW) (Thompson and Holbrook, 2003; Kuzyakov and Gavrichkova, 2010; Mencuccini and
136 Hölttä 2010). The first mechanism driven by PPF, typically influences the substrate supply
137 to roots with a daily to weekly time lag between leaf assimilation and rhizosphere production
138 (Wingate et al., 2010; Plain et al., 2009; Ekblad et al., 2005), while the second mechanism can
139 act with very shorter time lag (hours). The influence of the PPCW on the regulation of the
140 substrate supply in the rhizosphere is increasingly reported in the literature. An increase in
141 photoassimilate production and transpiration rate (linked to VPD) creates an increase in the
142 turgor pressure at the upper loading phloem end. The pressure propagation through the
143 phloem leads to expulsion of the sucrose molecule from the opposing phloem end
144 (Gavrichkova and Kuzyakov, 2012; Mencuccini and Hölttä 2010). The photoassimilate
145 production depends mainly on the photosynthetically active radiation (PAR), the air
146 temperature and the vapour pressure deficit (VPD). All these variables present an intra-day
147 cycle.

148 The aerial variables that could impact the soil CO₂ transport processes are those related to
149 non-diffusive transfers induced by atmospheric turbulence (wind conditions). These transfers
150 are in increasing recognition of the importance in several ecosystem types (Goffin et al.,
151 2014; Maier et al., 2012; Bowling and Massman, 2011; Maier et al., 2010; Seok et al., 2009;
152 Flechard et al., 2007; Takle et al., 2004) and are clearly defined in Maier et al. (2012) clarifies
153 it by identifying its two components, advection and dispersion, and emphasizing the
154 difference between them. The advection refers to the CO₂ transported vertically by the air
155 mass flow in the soil which results from pressure fluctuations at the soil surface. As a result of
156 the alternating direction of the pressure fluctuation, the advection is alternately up and down

157 so that the net vertical air flow into/out of the soil is zero, although air flow occurs within soil
158 pores (Maier et al., 2012). By cons, the dispersion can be conceptually described as an
159 enhancement of diffusion following air movement in the soil. Basically, the speed of vertical
160 air movement during advection is not horizontally homogeneous due to friction on pore walls,
161 dead end pores, etc. In addition to the vertical CO₂ gradient, this creates horizontal
162 heterogeneity in soil CO₂ concentration and leads to horizontal diffusion. Maier et al. (2012)
163 showed that this last process combined with vertical advection leads to a net CO₂ vertical flux
164 named dispersion. The dispersion enhances always vertical diffusion due to the fact that CO₂
165 molecules preferentially use upward movement of the oscillating air column (Maier et al.,
166 2012). Again, atmospheric turbulence, and then soil CO₂ vertical transport related, underlies
167 an intra-day cycle.

168 In this study, modelling is used to test whether transport or production processes of soil CO₂
169 is responsible for short-term (intra-day) variations observed in efflux and soil concentration
170 measurements. In this framework, the model outputs simulating CO₂ production and its
171 transport within the soil are compared with a measurement dataset. A reference model
172 including a purely diffusive CO₂ transport and a temperature sensitivity of CO₂ production
173 was compared to models taking into account turbulence-induced transport (advection or
174 dispersion) or a rapid influence of photosynthetic activity on soil CO₂ sources.

175 **Material and Method**

176 **Site description**

177 A dataset from the Hartheim permanent forest meteorological experimental site (47°56'04''N
178 7°36'02''E, 201 m a.s.l) was used to develop our modelling approach. The site consists of a
179 50 year-old Scots pine stand (*Pinus sylvestris* L.) located in the Upper Rhine Valley (Holst et
180 al, 2008). This stand is characterized by a sparse canopy and a dense understory. Climate

181 conditions are temperate: annual mean air temperature of 10.3°C and a mean annual total of
182 precipitation of 642 mm (Holst et al., 2008). The soil is a carbonate-rich (pH=7.8-8.2), two-
183 layer Haplic Regosol (calcaric, humic) (FAO, 2006). The texture of the Ah horizon is silt
184 loam (0-20 cm), followed by a transitional horizon AhC (20-40 cm) with less silt and more
185 gravel. The underlying layer (horizon C, 40-80 cm) is clearly stratified comprising alluvial
186 sand and gravel. The humus type is mull. The O_L horizon thickness varies between 1 and 3
187 cm. A detailed site description is given in Holst et al (2008).

188 An *in-situ* measurement campaign with detailed subsurface vertical profile of soil water
189 content (SWC), temperature (T), air [CO₂] and surface CO₂ effluxes (F_s) was performed at the
190 Hartheim site from August 25 to September 15, 2010. The temporal resolution of these
191 measurements was 30 min. In addition, soil physical characteristics (porosity, diffusion
192 coefficient, air permeability) were measured in laboratory on soil samples collected in each
193 horizon of the site. The detailed description of the *in situ* and the laboratory measurements
194 can be found respectively in Goffin et al., (2014) and Maier et al., (2012). A brief description
195 is given in the following paragraphs.

196 **Field Measurements**

197 **Profiles of [CO₂], SWC, T**

198 The soil air CO₂ concentration ([CO₂]) profile was measured using solid-state non dispersive
199 infrared CO₂ sensors (GMP343, diffusion model, Vaisala Oy, Helsinki, Finland) inserted in
200 the soil at -5, -25, -50 and -95 cm depth. Another probe was placed on the forest floor, just
201 above the litter surface.

202 The soil water content (SWC) profile was determined using both volumetric soil moisture
203 sensors inserted horizontally at -7, -20 and -30 cm depth (Theta Probe ML1, Delta-T Devices,

204 Cambridge UK) and matric potential sensors (EcoTech GmbH, Bonn, Germany) at 20, 50 and
205 70 cm depth.

206 The soil temperature (T) profile was recorded using PT100 (Heraeus Sensor Technology,
207 Kleinostheim, Germany) at -1, -3, -5, -10, -20, -40, -50, -70 and -120 cm depth. Additionally,
208 air temperature was also available. The soil sensors were installed during the winter 2009,
209 leaving a large part of the 2010 growing season for fine root biomass recovering before the
210 beginning of the measurement (27th August 2010).

211 **Surface CO₂ efflux (F_s)**

212 F_s were measured using four open chamber systems (or steady-state flow-through chambers).
213 The detailed experimental design and operating method was given in Marron et al. (2009).
214 The chambers were mainly constituted by a collar (stainless steel, 20 cm diameter, 12.5 cm
215 high) and a mobile lid. The recommendations of Rayment and Jarvis (1997) about the
216 chamber design were taken into account, *i.e* (i) steady state for chamber CO₂ concentration
217 can be ensured with a value close (few μmol/mol) to the atmosphere, (ii) turbulent conditions
218 at the soil surface inside the chamber must be as close as possible to the outside conditions,
219 (iii) the pressure difference between the outside and inside of the chamber must be minimal
220 (<0.1 Pa, as shown in Longdoz et al., 2000). To measure F_s every 30 min, six collars were
221 partially pushed into the soil on 23 August 2010 and were alternatively covered, from 25
222 August to 15 September 2010, with one of the four mobile lids. After the two main rain
223 events occurring during the campaign, only two of the four lids were moved to other collars in
224 order to, on the one hand, avoid a permanent soil covering and its environment modification
225 and on the other hand, ensure a relative continuity in the measurements. For this purpose,
226 there were three sequences of 7-day measurements with different sets of four collars (see
227 Goffin et al., 2014). Due to technical issues, however, the measurements of F_s on collar 3

228 were excluded from the dataset. The flow rate through the system (2 l min^{-1}) was adapted to
229 the inlet aperture (21.2 cm^2). The F_s ($\mu\text{mol m}^{-2} \text{ s}^{-1}$) was calculated as:

230
$$F_s = \frac{([\text{CO}_2]_{\text{outlet}} - [\text{CO}_2]_{\text{inlet}}) * f}{S} \text{ (Equation 1)}$$

231

232 where $[\text{CO}_2]_{\text{inlet}}$ and $[\text{CO}_2]_{\text{outlet}}$ are CO_2 concentration in the inlet and outlet flows from the
233 chamber, f is the air flow through the chamber ($\text{m}^3 \text{ s}^{-1}$) and S is the soil surface inside the
234 chamber (m^2).

235 Finally, the mean plot F_s were estimated throughout the study period (25 August to 15
236 September) as the average of the 5 remaining collars after gap filling according to Goffin et al
237 (2014).

238 **Standard deviation of horizontal wind speed and differential pressure**

239 The standard deviation of horizontal wind speed ($\sigma_{\text{h,tower}}$) was calculated every second from
240 high frequency (20 Hz) measurements of horizontal wind velocity component. The latter were
241 monitored above the canopy during the entire measurement campaign with a sonic
242 anemometer (CSAT3, sonic anemometer, Campbell Scientific, Inc, Logan, Utah, USA)
243 located on a 30 m-height tower and used as a proxy for the turbulence at the soil surface. To
244 ensure the quality of this proxy, a sonic anemometer was placed at the soil surface after the
245 measurement campaign and the data from this one were compared to the data from the
246 anemometer placed at the top of the tower. We have observed a significant positive
247 correlation between turbulence conditions measured above and below the canopy ($R^2=0,62$,
248 $p\text{value}<0.001$).

249 The differential pressure between soil interface and -25 cm depth was recorded using a
250 sensitive piezo-resistive relative pressure sensor (GMSD 2.5MR,, Greisinger Electronic

251 GmbH, Regenstauf, Germany, sensitivity 0.1 Pa, accuracy 1%). Pressure was measured with a
252 frequency of 20 Hz and recorded as minimum, maximum and mean differential pressure
253 measured every 4 min during the period from September 8 to 16, 2010.

254 **Laboratory Measurements**

255 The relationships between soil relative diffusivity ($D_r=D_s/D_0$) and SWC, and between air
256 permeability (K) and air-filled porosity (ε), as well as the retention curves and the total
257 porosity were determined for each soil horizon from laboratory experiments performed on
258 Hartheim soil samples. The methods are widely described in Maier et al., (2010) and (2012).
259 The soil cylinders used for the physical parameters determination were sampled on the
260 studied plot during the installation of the device for profiles ($[CO_2]$, SWC, T) measurements
261 and maintained intact before analyses.

262 The total porosity (Φ) of each horizon was calculated as the average value of all samples
263 collected from the same horizon as mentioned in Goffin et al., (2014). Horizon specific
264 relationship between D_r and SWC (see below Equation 6) and between K and ε (see below
265 Equation 11) were determined from D_r and K measurements on several soil cores collected in
266 each horizon and subjected, in laboratory, to several water treatments. For each horizon, linear
267 regressions were then used to derive specific relationships between D_r and SWC and non-
268 linear regressions to derive the relationships between K and ε . The regressions were
269 performed with MATLAB by minimizing the mean square error (R2009b version, *The*
270 *Mathworks*, Natick, USA).

271 **Model**

272 CO_2 transport and production within the gaseous phase in the soil were modelled using the
273 gaseous CO_2 mass balance equation with separate soil layers. Under the assumption of

274 horizontal homogeneity in the studied soil, the one-dimensional CO₂ mass balance equation
275 on an infinitesimal depth soil element can be expressed as:

$$276 \quad \varepsilon \frac{\partial[\text{CO}_2]}{\partial t} = -\frac{\partial}{\partial z}(F) + P \quad (\text{Equation 2}),$$

277 where ε is the air-filled porosity (m^3m^{-3}), $[\text{CO}_2]$ is the CO₂ concentration ($\mu\text{mol CO}_2 \text{m}^{-3}$), F
278 represents the CO₂ fluxes caused by transport in the gaseous phase ($\mu\text{mol CO}_2 \text{m}^{-2}\text{s}^{-1}$), P
279 represents the CO₂ production terms (sources) ($\mu\text{molm}^{-3}\text{s}^{-1}$) mainly coming from the organic
280 matter through the autotrophic and heterotrophic component of soil respiration and to a lesser
281 extent by CO₂ exchanges with liquid phase, z is the depth (m) and t is the time (s) ($z=0$ at the
282 bottom of the O_L horizon, $z>0$ above the bottom of O_L horizon pointing to the atmosphere and
283 $z<0$ below O_L horizon pointing to the soil).

284 In this study, the CO₂ exchanges between the gaseous and the liquid phase is negligible and
285 were estimated to represent only few percent (<4%) of the biotic CO₂ sources (autotrophic
286 and heterotrophic respiration). In this way, P term is simulated as biotic production only.

287 The air-filled porosity at each depth is computed from the difference between the horizon
288 specific total porosity (Φ) and the volumetric soil water content (SWC) measured *in situ*
289 according to Maier et al., (2010) and Goffin et al., (2014).

$$290 \quad \varepsilon(t, z) = \Phi^{horizon} - \text{SWC}(t, z) \quad (\text{Equation 3})$$

291 We tested several expressions of CO₂ production (P) and CO₂ transport (F) that differ in the
292 underlying assumptions considered, respectively for both the production and transport of CO₂
293 within the soil.

294 The model is applied on the Haplic Regosol (calcaric, humic) (FAO, 2006) in Hartheim site
295 consisting of 4 soil horizons: O, Ah, AhC and C. The soil was treated as a one-dimensional

296 structure, each horizon having its own physical and biological properties. The Ah horizon was
297 split into two parts because of its large vertical heterogeneity of physical parameters, so that
298 the modeled soil consisted of five parts: O_L (+2.5-0cm), Ah1 (0-10cm), Ah2 (10-20 cm), AhC
299 (20-40cm) and C (40-80 cm).

300 **Reference Model**

301 In the reference model, the most commonly accepted processes of soil CO₂ transport and
302 production are considered, namely a purely diffusive transport and production dependent on
303 the local soil temperature only (Curiel Yuste et al., 2005; Davidson et al., 2006a).

304 Diffusion is reported to be the main transport mechanism in the soil (Pumpanen et al., 2008;
305 Davidson et al., 2006b; Hirano et al., 2005; Jassal et al., 2005; Fang and Moncrieff, 1999).
306 The CO₂ fluxes F can be expressed by Fick's first law.

$$307 \quad F = -D_s \frac{\partial[CO_2]}{\partial z} \quad (\text{Equation 4}),$$

308 where D_s is the effective soil diffusion coefficient (m²s⁻¹) which was determined, at each
309 depth, as a function of the free air CO₂ diffusion coefficient in standard conditions
310 (D₀=1.47*10⁻⁵m²s⁻¹ at 293.15 K and 101325 Pa), atmospheric pressure (p_{atm}, Pa), temperature
311 at the corresponding depth (T, [K]) and the relative soil diffusion coefficient (D_r=D_s/D₀).

312

$$313 \quad D_s(t, z) = D_r(t, z) * D_0 * \left(\frac{T(t, z)}{293,15}\right)^{1.75} * \left(\frac{P_{ref}}{P_{atm}(t)}\right) \quad (\text{Equation 5})$$

$$314 \quad D_r(t, z) = \alpha_1^{horizon} * SWC(t, z) + \alpha_2^{horizon} \quad (\text{Equation 6}),$$

315 where SWC(t,z) and T(t,z) are estimated from interpolation between *in situ* measurement
316 point, α_1 and α_2 are parameters deduced in each horizon from experimental linear

317 relationship between D_r and SWC obtained in laboratory on soil samples. More details are
318 given in Goffin et al., (2014) and Maier et al., (2010).

319 Usually in temperate ecosystem, the CO_2 biotic production in the soil is represented as a
320 function of soil temperature (Davidson and Janssens, 2006; Kätterer et al., 1998; Lloyd and
321 Taylor, 1994). In the reference model, the CO_2 production in each layer and time step was
322 adjusted according to the temperature at each depth following a Q_{10} equation (Moyes et al.,
323 2010; Curiel Yuste et al., 2005)

$$324 \quad P(t, z) = R_b(z) * Q_{10}^{\frac{T(t,z)-T_{ref}}{10}} \quad \text{(Equation 7),}$$

325 where R_b is the basal respiration rate ($\mu\text{mol } CO_2 \text{ m}^{-3}$), representing the CO_2 production at the
326 reference temperature T_{ref} (15°C), Q_{10} is a coefficient defining the temperature sensitivity of
327 CO_2 production, constant over the profile.

328 The basal respiration rate decreases with depth (Moyes et al, 2010). We considered the
329 decreasing basal respiration rates with depth by using two linear functions which intersect at
330 the depth z_2 . The depth z_2 should represent the limit between high and low organic content
331 zones (normally close to the transition between Ah and C horizons). The following
332 expressions were used:

$$333 \quad R_b(z) = \begin{cases} R_b(z_1) + \frac{R_b(z_2) - R_b(z_1)}{z_2 - z_1} (z - z_1), & z_1 > z > z_2 \\ R_b(z_2) + \frac{R_b(z_3) - R_b(z_2)}{z_3 - z_2} (z - z_2), & z_2 \geq z > z_3 \end{cases} \quad \text{(Equation 8),}$$

334 with $z_1=+0.025$ m (the top of the litter layer), $z_3=-0.8$ m (the bottom of the C horizon)
335 $R_b(z_3)=0$ (no production below C horizon). Q_{10} , $R_b(z_1)$, $R_b(z_2)$ and z_2 are parameters
336 determined by adjustment of the model outputs to the *in-situ* measurements (CO_2
337 concentration and efflux).

338 **Boundary and initial conditions**

339 The initial CO₂ concentration profile in the soil (t=0) was interpolated between the
340 concentrations measured at several depths at the beginning of the measurement campaign.

341 The top boundary condition (at z₁=+0.025 m) for [CO₂] was equal to the value measured at
342 the soil-air interface. At the bottom of the domain (z₃=-0.8 m), it was considered that the CO₂
343 vertical gradient was negligible so that the mass fluxes were zero.

344 **Model including advection**

345 Many authors reported the importance of considering advection in conjunction with diffusion
346 to characterize vertical gas transport in the soil (Bowling and Massman, 2011; Seok et al.,
347 2009; Flechard et al., 2007; Fang and Moncrieff, 1999). The flux induced by the pressure
348 fluctuation (advective transport) at the soil surface is taken into account and coupled with
349 diffusion so that, F (in Equation 2) can be expressed according to Equation 9.

350
$$F = -\frac{K}{\eta} [CO_2] \frac{\partial p}{\partial z} - D_s \frac{\partial [CO_2]}{\partial z} \text{ (Equation 9),}$$

351 where p is a differential pressure relative to a reference pressure $p_{ref}=101325$ Pa (the absolute
352 pressure in the soil is then given by $p+p_{ref}$), K is the air permeability (m²) and $\eta=1.8*10^{-5}$ kgm⁻¹
353 s⁻¹ is the air dynamic viscosity.

354 Since the soil is a porous medium, Darcy law is used to compute the pressure fields. It leads
355 to the following Partial Differential Equation (PDE) to determine the air pressure in the soil:

356
$$\varepsilon \frac{\partial p}{\partial t} = \frac{K}{\eta} \frac{\partial}{\partial z} \left((p + p_{ref}) \frac{\partial p}{\partial z} \right) \text{ (Equation 10)}$$

357 The air permeability (K) was determined, in each horizon except in C one, as a function of the
358 air-filled porosity.

359
$$K(t, z) = \alpha_3^{horizon} * (\mathcal{E}(t, z))^{\alpha_4^{horizon}} \text{ (Equation 11),}$$

360 where α_3 and α_4 are parameters deduced from relationship between air permeability and air-
361 filled porosity measured in laboratory on the same soil samples as those used to determine D_r .
362 In the C horizon, because the relationship could not be deduced from the laboratory
363 measurements, the average value measured on all the soil samples collected in C horizon was
364 used independently of air-filled porosity.

365 ***Boundary and initial conditions***

366 Additional boundary conditions should be added for pressure. At the top of the domain, the
367 differential pressure p (Equation 9) is assumed to be proportional to the standard deviation of
368 horizontal wind speed fluctuation ($\sigma_{u,tower}$) calculated every second from data collected at 20
369 Hz at the top of the tower (Subke et al, 2003), :

370
$$p|_{z=0.025,t} = \Delta p_{int} = \alpha_5 * \sigma_{u,tower} \text{ (Equation 12),}$$

371 Where Δp_{int} is the differential pressure ($p - p_{ref}$) at the boundary between litter layer and
372 atmosphere and α_5 is a parameter that should be determined by comparison between
373 simultaneous measurements of $\sigma_{u,tower}$ and Δp_{int} . Unfortunately, Δp_{int} was not directly
374 measured but the pressure difference between soil interface and -25 cm depth was. The
375 maximum and minimum values of this difference were recorded every 4 min from high
376 frequency data. Assuming no more pressure fluctuation at -25 cm depth, the gap between
377 these maximum and minimum values (Δp_{int-25}) can be used as a proxy of the pressure
378 fluctuation at the soil interface (Δp_{int}).

379 At the bottom of the domain, it was assumed that the pressure fluctuation cannot penetrate
380 further in the soil, leading to the following condition:

381
$$\left. \frac{\partial p}{\partial z} \right|_{z=-0.8,t} = 0 \text{ (Equation 13)}$$

382 The initial differential pressure is set to 0.

383 **Model including dispersion**

384 The dispersion process is reported to influence soil gas transport, more specifically to enhance
385 the gas exchange in the soil (Maier and al., 2012; Bowling and Massman, 2011; Takle et al.,
386 2004). This process is difficult to model with a mechanistic description but this difficulty can
387 be circumvented by considering that it can be expressed as an increase of the soil diffusion
388 coefficient due to turbulence (Maier and al., 2012; Bowling and Massman, 2011). The
389 dispersion potentially affected the uppermost few centimeters of the humus layers (Maier et
390 al., 2012) and therefore we test it by introducing a turbulence-dependency of the soil diffusion
391 coefficient in the litter (O_L horizon). In that way, Equation 5 is written in O_L as indicated in
392 Equation 14.

393
$$D_s^{O_L}(t, z) = D_r^{O_L}(t, z) * D_0 * \left(\frac{T(t, z)}{293,15}\right)^{1.75} * \left(\frac{P_{ref}}{P_{atm}(t)}\right) * \left(1 + \frac{u^*(t)}{\alpha_6}\right) \text{ (Equation 14),}$$

394 where u^* is the friction velocity measured above the canopy [ms^{-1}] and α_6 a parameter [ms^{-1}]
395 reflecting the influence of turbulence on soil CO_2 transport. The u^* is taken above the canopy
396 because: (i) it can be considered as a good proxy for turbulence intensity at soil level given
397 the good correlation between u^* measured above and below the canopy at Hartheim site (data
398 not shown), and (ii) this measurement was available throughout the measurements campaign.

399 From Figure 8 in Maier et al., (2012), the increase of the diffusion coefficient due to
400 dispersion may reach, in a laboratory experiment on several Hartheim soil samples, more than
401 30% in high turbulence level. The latter corresponds to a friction velocity artificially induced
402 at the sample surface (u^*_{floor}) of $0.34 ms^{-1}$. They have even observed on one sample an

403 extreme increase of 85% at this turbulence level. In view of these results and given the
404 relationships between the friction velocities at the forest floor and at the top of the tower (data
405 not shown), the potential fitting range of α_6 should be between 3 (great influence of
406 dispersion) and 8 (moderate influence of dispersion).

407 **Model including the photosynthetic substrate supply through phloem pressure** 408 **concentration waves**

409 Phloem movements in a plant are closely related to the water movement, in the opposite
410 direction, through the xylem. Therefore, the phloem transport depends indirectly on the plant
411 transpiration (TR) which can be approached by the vapour pressure deficit (VPD). To date, no
412 equation describes the phloem pressure concentration wave in a mechanistic way (Mencuccini
413 and Hölttä 2010). Here, the influence of such phenomenon is empirically tested using a linear
414 influence of the vapour pressure deficit (VPD, [hPa]) on soil CO₂ production, so that the latter
415 can be expressed as indicated in Equation 15.

$$416 \quad P(t, z) = R_b(z) Q_{10}^{\frac{T(t,z) - T_{ref}}{10}} + (\alpha_7 * VPD(t) + \alpha_8) \text{ (Equation 15)}$$

417 Where α_7 and α_8 are parameters.

418 In this way, Equation 15 is expressed as a Q₁₀ law to which a residual influence of VPD is
419 added. This equation was applied only in O_L, Ah₁ and Ah₂ horizons where are located most of
420 the roots (Goffin et al, 2014). In the other horizons, CO₂ production remains expressed by
421 Equation 7.

422 **Model Calibration Procedure**

423 As mentioned above, some parameters of the production expression ($R_b(z_1)$, $R_b(z_2)$, z_2 , Q_{10})
424 have to be calibrated. This task has been performed using a least-square fitting method. It
425 consists to minimize a cost function C_F which corresponds to the average quadratic difference

426 between the experimental measurements and the simulation outputs obtained for a given set
427 of parameters.

$$428 \quad C_F(M) = \sum_i \sum_j ((Y_{\text{exp}}(z_i, t_j) - Y_{\text{sim}}(z_i, t_j, M))^2 \quad \text{(Equation 16)}$$

429 Where Y is a calibration variable and M a given set of parameters. The final calibrated values
430 for the parameters are those which minimize the cost function.

431 $R_b(z_1)$, $R_b(z_2)$, z_2 , and Q_{10} are fitted by minimizing the cost function for $Y = [\text{CO}_2]$, $z_i =$
432 $\{-0.05; -0.25; -0.50\}$ and t_j from 0 to 15 days.

433 **Numerical procedure and post-processing**

434 To simulate the time evolution of the CO_2 concentration profile and CO_2 fluxes, Equation 2
435 was solved numerically using the respective initial and boundary conditions with the
436 commercial software COMSOL Multiphysics 3.5. This software enables to solve partial
437 differential equations using the finite element method.

438 The one-dimensional computational domain is meshed using regularly spaced elements.
439 Various mesh sizes have been assessed and it has been found that an optimum mesh size is
440 10^{-3} m because further refinement of the mesh no longer influences the simulated
441 concentration profiles. The direct solver UMFPACK was used to resolve the equation system
442 with Quadratic Lagrange elements for the spatial discretization and the Backward
443 Differentiation Formulas (BDF) method for the time discretization.

444 The minimization of the cost function (Equation 16) is realized using *fminsearch* function of
445 COMSOL which performs unconstrained nonlinear minimizations using a Nelder-Mead
446 algorithm.

447 **Results and Discussion**

448 First, the results of the reference model are presented with their own pros and cons. Then the
449 models taking into account more complex transport or production expressions are respectively
450 presented emphasizing the improvement compared to the reference model.

451 **Reference model**

452 Parameter values of Equation 6 (α_1 and α_2) were obtained by laboratory measurements and
453 are presented in Table 1. The parameters of Equations 7-8 (Q_{10} , $R_b(z_1)$, $R_b(z_2)$ and z_2) were
454 calibrated using experimental data of soil CO_2 concentration measured in Hartheim from
455 August 27 to September 14, 2010.

456 **Calibration values**

457 The calibrated parameters are presented in Table 2. The basal respiration rate values ($R_b(z_1)$
458 and $R_b(z_2)$) are consistent with the CO_2 production values reported in Goffin et al, (2014) on
459 the same site. These calibrated parameters suggested that the CO_2 production within the entire
460 soil profile (from the air/soil interface to $z=-0.8$ m) at $15^\circ C$ was equal to $5.57 \mu mol CO_2 m^{-2} s^{-1}$
461 and that 90% of the CO_2 was produced above -30 cm depth which is close to values reported
462 in Goffin et al., (2014). The Q_{10} obtained is within the range commonly reported in the
463 literature i.e. from 1 to 10 (Luo and Zhou, 2006; Davidson et al., 2006a; Fang and Moncrieff,
464 2001). Nevertheless, Davidson et al. (2006a) speculate that a Q_{10} value above 2.5 probably
465 indicates that some unidentified process of substrate supply should be considered. In addition,
466 it was expected that the depth z_2 represents the boundary between high and low organic
467 content and root zones. This is the case as the calibrated value is close to the estimated depth
468 transition between AhC and C horizons (-0.40 m) below which Goffin et al. (2014) showed a
469 clear depletion in C organic and root content.

470 **Time evolution**

471 The time evolution of simulated F_s and soil $[CO_2]$ are compared to measurements, in Figure 1
472 and Figure 2 respectively.

473 The magnitude and the inter-day variability in F_s and soil $[CO_2]$ at each depth are relatively
474 well represented by the reference model. But, the amplitude and the phase of the intra-day
475 pattern of surface variables (F_s and $[CO_2]$ at -5cm depth) are poorly reproduced.

476 This version of the model explains 61%, 92%, 75% and 70% of the inter-day variation of F_s ,
477 $[CO_2]$ at -5 cm, $[CO_2]$ at -25 cm and $[CO_2]$ at -50 cm depth, respectively. The inter-day
478 variation was estimated using the daily average of the above variables. The amplitude of
479 intra-day variation of F_s and $[CO_2]$ at -5 cm depth ($[CO_2]_{-5cm}$) are significantly smaller in the
480 simulation than in the measurements. Indeed, the F_s and $[CO_2]_{-5cm}$ intra-day amplitude,
481 averaged on data collected over the days where there is a well-marked daily cycle (from
482 31/08/2010 to 6/09/2010 & from 10/09/2010 to 12/09/2010 & from 13/09/2010 to
483 15/09/2010), are 1.6 (Standard Error, SE=0.1) $\mu molCO_2m^{-2}s^{-1}$ and 10998 (SE=402)
484 $\mu molCO_2m^{-3}$, respectively. Those values are significantly larger than the simulated values of
485 1.06 (SE=0.05) $\mu molCO_2m^{-2}s^{-1}$ and 7335 (SE=560) $\mu molCO_2m^{-3}$, respectively for F_s and
486 $[CO_2]_{-5cm}$. The phase difference between simulation and measurement is not constant in time.
487 The phase difference is especially marked during sunny days without rain. The simulated F_s
488 tends to be ahead of the measured one, with an averaged phase advance of 2.5 hours.
489 Conversely, the simulated $[CO_2]_{-5cm}$ tends to be delayed from the measured one, with an
490 averaged delay of 6h.

491 The differences between measurements and model simulations (amplitude and phase) cannot
492 be reduced by changing the values of calibrated parameters, except by unreasonably
493 increasing the Q_{10} value (>10). In that case, however, the inter-day variability of F_s and $[CO_2]$

494 is no longer adequately represented. Such important difference between temperature
495 sensitivity on hourly and daily basis is difficult to explain in a mechanistic way, so that other
496 variables should affect the F_s and $[CO_2]$ within short-timescales.

497 As shown above, the model failed to reproduce concentrations and fluxes in the two first
498 shallowest horizons (O_L & A_h) where more than 76% of the CO_2 was produced. The model
499 modification aims at improving this description. Below, we will focus only on those variables.

500 **Model with advection**

501 **Parametrization**

502 The parameter α_5 was determined using simultaneous data of $\sigma_{u,tower}$ and Δp_{int-25} , the latter
503 being assumed to represent Δp_{int} . Two values were obtained, $\alpha_5 = 0.89$ and $\alpha_5 = 2.66$, using
504 respectively for the comparison, the maximum and the mean value of $\sigma_{u,tower}$ recorded every
505 240 seconds (to match frequency of Δp_{int-25} data). These values best represent the average
506 behavior and the extreme values of Δp_{int-25} , respectively. These values are higher than those
507 reported by Subke et al., (2003) (up to 0.87) but do not seem aberrant. In addition, it is
508 difficult to discuss the value of such parameter, as it is highly sensitive to the location of
509 measurements (Subke et al., 2003). Given the challenge of measuring pressure fluctuation *in*
510 *situ* (Maier et al., 2010), it is necessary to use a proxy. In this case, it seems particularly
511 important to test the sensitivity of the model to the proxy. Below, the impact of the Equation
512 12 parameters (α_5 and frequency of p) is evaluated on the instantaneous value of F_s , because
513 the advection primarily impacts this variable.

514 ***Impact of α_5***

515 The value of the parameter α_5 determines the amplitude of the pressure fluctuations. Several
516 values of α_5 going from $\alpha_5 = 0$ (corresponding to reference model) to $\alpha_5 = 20$ (extremely

517 high amplitude of pressure fluctuation) have been tested (with a $\sigma_{u,tower}$ varying at 1 Hz)
518 during the most turbulent days of the measurement campaign ([8/09/2010-10/09/2010]).

519 Figure 3 shows, for different α_5 values, the maximum instantaneous contribution of advection
520 (F_{adv}) to F_s according to the amplitude of pressure fluctuation at the interface Δp_{int} . As
521 expected, F_{adv}/F_s increases with Δp_{int} (or α_5), i.e. with the strength of turbulence. We can
522 observe that the contribution of advection is very low for the α_5 range expected in Hartheim.
523 The considered extreme value of $\alpha_5 = 2.66$ leads to a maximum advective contribution of
524 only 3.8% and this percentage is even lower for $\alpha_5 = 0.89$ (average behavior). To reach a 10%
525 advective-contribution to F_s , Δp_{int} must exceed 17.3 Pa ($\alpha_5 > 6.9$). Such Δp_{int} has not been
526 observed *in situ* in Hartheim and is likely extremely rare at other sites as well. Takle et al.,
527 (2003 and 2004) measured high frequency (2Hz) pressure variation and reported values below
528 5 Pa. Using 1 Hz for p variations, advection does not seem to be a significant CO₂ transport
529 mechanism in the soil.

530 ***Impact of frequency***

531 The impact of pressure fluctuation frequency was tested, away from natural wind time
532 evolution, by applying a sinusoidal Δp_{int} with amplitude of 5 Pa and different frequencies: 0.2
533 Hz, 1 Hz, 10 Hz, 20 Hz and 50 Hz. The chosen amplitude represents an extreme value
534 observed *in situ* by Takle et al., (2004).

535 The ratio between instantaneous F_{adv} and the diffusive component of F_s (F_{diff}) was sampled at
536 100 Hz during 3600 seconds (i.e. with frequency of sampling > frequency of imposed Δp_{int}).
537 The frequency of pressure fluctuation has a significant influence on instantaneous F_{adv} value,
538 so that F_{adv} could become very high compared to the diffusive flux (F_{diff}). Indeed, F_{adv} can
539 largely exceed F_{diff} , the former becomes twice as high as the latter already at a frequency of 10

540 Hz. Of course, it is only instantaneous F_{adv}/F_{diff} ratio recorded at a given instant and given the
541 natural oscillating character of F_{adv} (positive and negative), this ratio is inevitably lower when
542 it is temporally integrated. The next paragraph presents a quantification of the impact of the
543 temporal integration.

544 In view of the advection study, the amplitude of p fluctuation had a lower impact on
545 instantaneous fluxes than the frequency of its variation.

546 **Time evolution: high frequency recording**

547 The preceding simulation suggests that, compared with the reference model, the introduction
548 of advection did not reduce the phase and amplitude divergences observed between
549 measurements and simulation outputs. In fact, the effects of advection are observable only on
550 very short timescales (tenth of seconds). To illustrate this effect, a simulation with extreme
551 turbulence conditions (frequency=50Hz and $\alpha_5 = 2.66$) was performed with high-frequency
552 recording (50 Hz) during 3600 s.

553 Figure 4 represents the time evolutions of instantaneous F_{diff} and F_{adv} , the surface $[CO_2]$ at 0
554 cm (just below the O_L horizon) and the amplitude of pressure fluctuations at soil/atmosphere
555 interface for both the reference model and the model including advection.

556 In these conditions, the instantaneous absolute value of F_{adv} can largely exceed F_{diff} (up to
557 three times larger). In addition, advection modifies F_{diff} (up to 4%). It's because advection
558 disturbs $[CO_2]$ vertical gradient, soil diffusion coefficient remaining unchanged between the
559 two models. Nevertheless, the $[CO_2]$ disturbance is insignificant since the introduction of
560 advection induced only concentration variations of maximum 0.26 and 0.05% at +2 cm (in
561 O_L) and 0 cm (below O_L), respectively.

562 As mentioned above, the advection acts only on very short timescales. To illustrate this, we
563 integrated F_{adv} ($\text{int}(F_{adv})$) and F_{diff} ($\text{int}(F_{diff})$) over several integration times and calculated the
564 ratio between these variables. Figure 5 represents the maximum ratio between $\text{int}(F_{adv})$ and
565 $\text{int}(F_{diff})$ obtained during the 3600 s according to the considered integration time (horizontal
566 axis). The contribution of advection drops rapidly and becomes almost zero already for an
567 integration time of 10 seconds. Surface fluxes measurement typically lasts few minutes and
568 therefore such measurement should not reflect the advective process. This last should be taken
569 into account only in studies focusing on mechanisms with a time characteristic around or
570 lower than one second.

571 In summary, considering the advection to characterize the soil CO_2 transport considerably
572 lengthens the computation time of modeling without improving the prediction of
573 measurements (half-hour integrated). The only significant effect was observed on the
574 instantaneous value of fluxes, but as soon as they are integrated over few seconds, the impact
575 of advection becomes negligible. In addition, we emphasized that the frequency of p
576 fluctuations is more important than its amplitude to more accurately quantify the potential
577 impact of advection on F_s at very short time scale.

578 **Effect of dispersion**

579 **Time evolution**

580 The time evolutions of the diffusion coefficient in the litter ($D_s(\text{O}_L)$) and the $[\text{CO}_2]_{-5\text{cm}}$ are
581 represented in Figure 6 respectively for the reference model and the model with dispersion
582 (using $\alpha_6 = 3$). In addition, the measured $[\text{CO}_2]_{-5\text{cm}}$ is presented to prove the reliability of the
583 model outputs. The general evolution of this measured $[\text{CO}_2]_{-5\text{cm}}$ has been analyzed in Goffin
584 et al. (2014) and is due to soil temperature and water content. Especially the drop around the
585 7th-8th September corresponds to a rain event inducing in a cascade effect D_s decrease, CO_2

586 blockage and $[\text{CO}_2]_{-5\text{cm}}$ increase. Introducing a $D_s(\text{O}_L)$ dependence to the friction velocity (u^*)
587 can change the dynamics of soil CO_2 concentration and, to a lesser extent, of F_s .

588 In the model that includes dispersion, the $D_s(\text{O}_L)$ increases as soon as the friction velocity
589 increases (during daytime), thus facilitating the $[\text{CO}_2]$ transport. This implies a topsoil $[\text{CO}_2]$
590 decreases during daytime. In such situations, the amplitude of intra-day variation of simulated
591 $[\text{CO}_2]$ and F_s increases compared to the one obtained with the reference model (Figure 6). The
592 impact of dispersion is less clear on simulated F_s than on soil $[\text{CO}_2]$. This is because the
593 dispersion impacts the underlying variables of F_s (D_s and $[\text{CO}_2]$) in opposite direction.
594 Furthermore, the dispersion especially impacts the litter $[\text{CO}_2]$ dynamics, but its effect is still
595 visible in the lower layers. For example, the dispersion with $\alpha_6 = 3$ increases the amplitude of
596 intra-day variation of $[\text{CO}_2]$ in the litter, at -5cm , -25 cm , -50 cm respectively by 59%, 41%,
597 12% and 2%, in comparison with the reference model. In contrast, the amplitude of intra-day
598 variation of F_s increases by only 1% (Figure 7).

599 The amplitude of intra-day variation of $[\text{CO}_2]_{-5\text{cm}}$ features similar values to the measured one
600 for α_6 included between 2 and 3 (Figure 7).

601 When dispersion is included in O_L , it can increase the intra-day variation of F_s and $[\text{CO}_2]$, the
602 latter becoming closer to those measured, but the phase difference observed between
603 simulation and measurement remains as well for F_s and CO_2 . Therefore, this phenomenon
604 helps but does not explain suitably the anomalies observed in 0. Nevertheless, those
605 simulations highlights the dispersion impact on topsoil $[\text{CO}_2]$, even with $D_s(\text{O}_L)$ increases
606 similar to those observed in laboratory by Maier et al., (2012). Quantifying the impact of
607 turbulence on topsoil $[\text{CO}_2]$ is really important for the Flux Gradient Approach (Goffin et al.,
608 2014) which is a measurement technique that is increasingly being used. To go further in this
609 direction, it is essential to establish experimentally and *in situ* relationships between

610 turbulence at the forest floor and the soil diffusion coefficient (Schwen et al, 2011; Lehmann
611 et al, 2000; Van Bochove et al, 1998).

612 **Model including the phloem pressure concentration waves**

613 The inclusion of turbulence-induced transport in the Model did not elucidated sufficiently the
614 phase and amplitude differences observed between the reference model and the
615 measurements. Therefore, it seems that the expression of CO₂ production should be
616 questioned. An impact of the pressure concentration wave could modify the simulation in the
617 right direction because it includes (i) the influence of photosynthetic activity that depends on
618 aerial variables presenting an intra-day cycle and (ii) a time lag between the aerial variables
619 and its action on [CO₂] production (Kuzyakov and Gavrichkova, 2010; Mencuccini and
620 Hölttä 2010).

621 The parameters α_7 and α_8 in Equation 15 have not been directly calibrated on CO₂
622 production measurements but their value was set to improve the representation of the
623 temporal evolution of measured variables. Giving a more accurate value of those parameters
624 would need a specific study with additional data compared to those collected in this study.

625 The values of $0.35 \mu\text{molCO}_2\text{m}^{-3}\text{s}^{-1}\text{hPa}^{-1}$ and $-0.04 \mu\text{molCO}_2\text{m}^{-3}\text{s}^{-1}$ was found respectively for
626 α_7 and α_8 to represent the same magnitude of intra-day variation of F_s and [CO₂]_{-5cm}
627 measurements. With those values, the resulting VPD contribution to total CO₂ production can
628 vary between 0 and 15% at 5 cm depth. Several time lags between the VPD and its action on
629 CO₂ sources were tested. A consistent time lag of -2 hours was found to erase the important
630 phase differences observed between simulated and measured [CO₂]_{-5cm}. Mencuccini and
631 Hölttä 2010 reported time lag from few hours.

632 Figure 8 represents the time evolutions of F_s and $[CO_2]_{-5cm}$ and their averaged intra-day
633 variation for the reference model, the model including the phloem pressure concentration
634 wave (PPCW) and the measurements. In general, adding the influence of VPD in the surface
635 horizons (O_L , Ah_1 and Ah_2) allowed improving the representation of the amplitude and the
636 phase of surface $[CO_2]$ intra-day variation and the amplitude of F_s intra-day variation. With
637 the introduction of the VPD influence, the average amplitude of $[CO_2]_{-5cm}$ and F_s intra-day
638 variation became, respectively, 10818 (SE=351) $\mu molCO_2m^{-3}$ and 1.78 (SE=0.11)
639 $\mu molCO_2m^{-2}s^{-1}$ and was thus not significantly different from the measured values. The phase
640 shift with observations is largely reduced on most of the $[CO_2]_{-5cm}$ time series. Nevertheless,
641 this last improvement is not large enough for F_s and the phase difference between simulated
642 and measured F_s remains significant during the sunny days without rain (from 31/08/2010 to
643 6/09/2010, from 10/09/2010 to 12/09/2010, from 13/09/2010 to 15/09/2010). This can be
644 explained by the fact that the PPCW is one of the mechanisms that could impact CO_2
645 production but there are still other mechanisms that could interact together on intra-day scale
646 (Moyes et al., 2010) and influence CO_2 production. In this study, the PPCW seem to be the
647 most appropriate mechanism to explain our measurements, but with the data available, we
648 cannot investigate further. Indeed, there is a lack of experimental studies about the PPCW and
649 the potential variables that could impact it. A constant (temporally and spatially) lag (2 hours
650 here) between the VPD and its action on the CO_2 production is supposed here but is maybe
651 not appropriate. Spatially, the PPCW lag should depend on the root position within the soil
652 which is highly variable among the surface horizons (see Goffin et al., 2014). The PPCW
653 should reach faster the surface horizons than the other ones. In addition, the production of
654 photoassimilate depends not only on the VPD but also on the radiation. In this case, the
655 influence of photosynthetic substrate supply to root should differ according to the radiation
656 too. Then the time lag should not be constant over time and should depend on climatic

657 conditions. Wingate et al. (2010) showed that the time lag for the direct transport of
658 photoassimilates from the canopy to root depend on the climatic conditions. This should be
659 also the case for the indirect physicochemical effect on root activity through the PPCW.
660 Further investigations are required to understand the impact of photosynthesis substrate on
661 soil respiration and propose a more mechanistic model.

662 **Conclusions**

663 The reference model took into account a purely diffusive transport of soil CO₂ and a
664 production which depends on the temperature variation only. This model produced a good
665 representation of the inter-day variability of F_s and [CO₂] measurements, but it failed to
666 accurately simulate their intra-day variability. Phase and amplitude differences were indeed
667 observed on the intra-day variation of [CO₂] and F_s compared to measurements. Adding the
668 influence of turbulence-induced transport does not sufficiently improve the intra-day pattern
669 of simulations. Advection was shown to disturb the instantaneous value of F_s with a higher
670 sensitivity to the frequency of the pressure disturbance than to its amplitude. The impact of
671 advection becomes negligible as soon as the fluxes are integrated over several seconds.
672 Including dispersion in the O_L horizon was shown to significantly disturb the topsoil [CO₂]
673 concentration. The latter decreased during turbulent events (daytime) resulting in an increase
674 of the intra-day dynamic of topsoil [CO₂]. The impact of dispersion decreased with depth, but
675 was still visible below -50 cm depth. Dispersion allowed a better representation of soil [CO₂]
676 intra-day variation, but not of F_s ones and the phase differences remain. When a mechanism
677 representing the PPCW was included, it was shown to modify the intra-day pattern of
678 simulated [CO₂] and F_s in the right direction. The influence of a rapid transport of the phloem
679 pressure concentration waves could explain the intra-day variability of [CO₂] and F_s
680 measurement in Hartheim during the summer 2010. From this study, we can conclude that

681 focus should be placed on the potential factors affecting the CO₂ production, rather than on
682 the transport process description.

683

684 **Acknowledgments**

685 Stéphanie Goffin acknowledges the support of the Belgium National Fund for Scientific
686 Research (FNRS), within the framework of her thesis (FRIA) and the FEDER (Fonds
687 Européen de Développement Régional). Christophe Wylock acknowledges the support of the
688 FNRS within the framework of his postdoctoral research. The authors are grateful to B. Clerc,
689 P. Courtois and J.M Gioria of the UMR1137 at INRA Nancy for the installation and
690 maintenance of the experimental set-up during summer 2010. The authors wish to thank the
691 reviewers for their comments.

692 **Tables**

693 Table 1: Values of underlying parameters of Equation 6 and 11 obtained from laboratory
694 measurements

695 Table 2: Calibrated values for the parameters in Equation 7 and 8

696 **Figures**

697 Figure 1 : a) Time evolution of surface fluxes (F_s) measured (grey) and simulated with the
698 reference model (black), b) Time evolution of the measured climatic conditions: rain and
699 photosynthetically active radiation.

700 Figure 2: Time evolution of measured (grey) and simulated (black) CO_2 concentration at -
701 5cm, -25 cm and -50 cm.

702 Figure 3: The maximum instantaneous advective contribution to F_s (F_{adv}/F_s) observed during
703 the two most turbulent days of the measurements campaign according to Δp_{int} obtained for
704 several tested α_5 .

705 Figure 4: Time evolution (high frequency recording) of the diffusive (F_{diff}) and advective
706 (F_{adv}) components of F_s , $[CO_2]$ below the O_L horizon and Δp_{int} for the reference model and
707 the model including advection with $\alpha_5=2.66$ and the frequency of 50 Hz.

708 Figure 5: The maximum ratio between the integrated advective component ($int(F_{adv})$) and the
709 integrate diffusive component ($int(F_{diff})$) of F_s obtained during the 3600 s according to the
710 considered integration time (horizontal axis)

711 Figure 6: The time evolutions of the diffusion coefficient in the litter ($D_s(OL)$) for the
712 reference model and the model including dispersion and the $[CO_2]_{-5cm}$ for the reference
713 model, the model including dispersion and the measurements.

714 Figure 7: Average intra-day variation of $[CO_2]_{-5cm}$ and F_s respectively for the measurement, the
715 reference model and the model including dispersion with different value of α_6

716 Figure 8: a)-c) Time evolutions of respectively F_s and $[CO_2]$ with the reference model, the
717 model including the phloem pressure concentration wave and the measure, b)-d) the averaged
718 intra-day variability respectively of F_s and $[CO_2]$.

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